

AEO VALIDATION PROCESS

Practical experience



OUTLINE

HOW TO BE AN AEO (main steps)

AEO REQUIREMENTS

AEO VALIDATION PROCEDURE

REPORTING

FOLLOW UP (Monitoring and Re-validation)



How to be an AEO (main steps)

The operator :

- has to make an application (on a voluntary basis)
- will have, once the application formally accepted, to be fully assessed on specific criteria by Customs or designated third party
- benefits from a structured and transparent procedure (delivery of a report) leading to the rejection (subject to a right of appeal) or the approval of the application



AEO requirements

SAFE Framework of Standards

Conditions and Requirements for Customs and the AEO (Annex IV SAFE FoS, 2015 version)

- A. Demonstrated Compliance with Customs Requirements
- B. Satisfactory System for Management of Commercial Records
- C. Financial Viability
- D. Consultation, Co-operation and Communication
- E. Education, Training and Awareness
- F. Information Exchange, Access and Confidentiality
- G. Cargo Security
- H. Conveyance Security
- I. Premises Security
- J. Personnel Security
- K. Trading Partner Security
- L. Crisis Management and Incident Recovery
- M. Measurement, Analysis and Improvement



AEO VALIDATION PROCEDURE

1-Preparation phase

- ▶ Information gathering,
- ▶ Organisation of visits,
- ▶ Identification of risk factors,
- ▶ Working plan

2-On-site phase

- ▶ Conducting the meeting (s)
- ▶ Validation (how to assess criteria A to L, Annex IV, SAFE FoS)



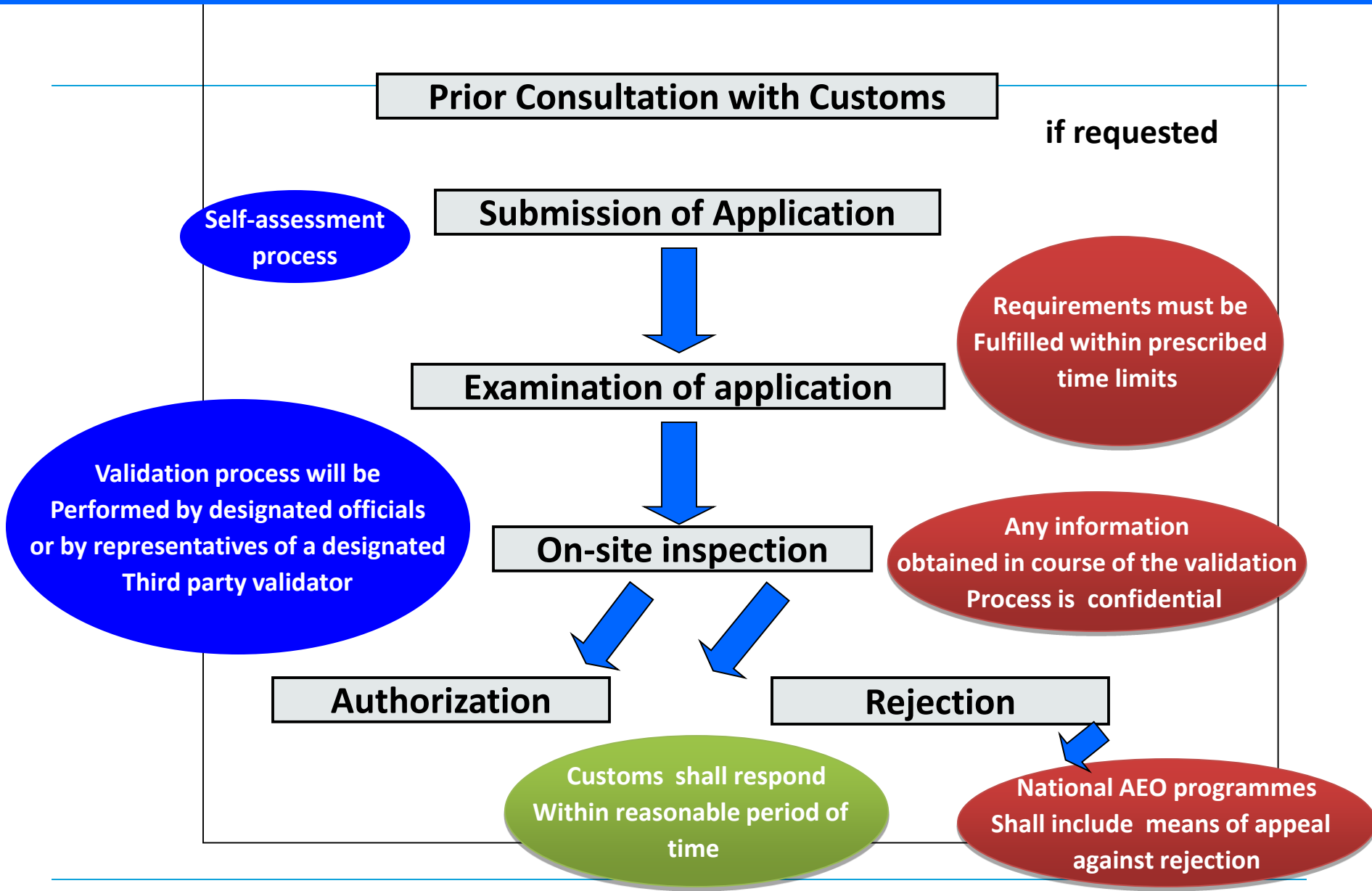
REPORTING

Validators should ensure that the analysis of findings include a clear:

- ▶ description of what has been done to verify the fulfillment of the AEO criteria
- ▶ description of all risk areas considered and checked and any follow-up actions suggested to the applicant;
- ▶ report of any action or reaction the applicant has undertaken or expressed to the validator;
- ▶ recommendation about whether to grant the status or not according to the result of validation process;



Validation Process



FOLLOW UP (Monitoring and Re-validation)

- ▶ Regular monitoring is the primary responsibility of the economic operator
- ▶ monitoring is done on a continuous basis (activities of the AEO and visits to its premises)
- ▶ Reassessment (or re-validation) shall be required if there are reasonable indications that conditions or criteria are no longer met (based on results of monitoring carried out, or information provided by the AEO or by other authorities)





Thank You For Your Attention

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