

# 2025 Annual IIA and ISACA Spring Conference



The Institute of  
**Internal Auditors**  
Detroit



**ISACA**<sup>®</sup>  
Detroit Chapter



**Saint John's Resort  
Plymouth, Michigan**

**Tuesday, March 4, 2025 - Thursday, March 6, 2025**  
& Virtual Sessions (March 2025)

# Welcome

*If you are responsible for your company's internal auditing, information systems security and integrity, accounting, finance, Sarbanes-Oxley compliance or other regulatory matters, or simply need continuing education, you will want to join us for the 26th annual Detroit Spring Conference Event.*

*The Detroit Chapters of The IIA and ISACA are proud to co-sponsor the annual Spring Conference Event. Our goal is to provide a world-class training event tailored to your needs. Each year, the Spring Conference Committee offers a comprehensive series of course offerings for our members and guests. The 2025 event is no exception.*

*A number of classes sell out each year so register early. Don't miss this opportunity to network with your peers, enhance your skills, and learn about new products and services in the marketplace!*

*Class size is limited. To be fair and equitable to all, we operate on a first-come first-serve basis, and maintain a wait list for all sold out courses. Registrants are required to attend the course(s) for which they registered unless they receive prior written approval from the Spring Conference Chairperson. Registrants attending unauthorized classes will not be awarded continuing education credits.*

*We look forward to seeing you at the Spring Conference event!*

## *The 2025 Spring Conference Committee*

*Mike Macdonald, Spring Conference Chair*

*Charles Brocker, Spring Conference Co-Chair*

*Aaron Pettway*

*Alan Demir*

*Amber Dunn*

*Angelo Toppi*

*Carrie Schrader*

*Diana McFadden*

*Greg Boehmer*

*Jason Sist*

*Kamilla Williams,*

*Maureen Niemiec*

*Rolande Damey*



# 2025 Spring Conference Program

Tuesday, March 4, 2025 - Thursday, March 6, 2025

Saint John's Resort, Plymouth, Michigan

TRACK	TUES MARCH 4	WED MARCH 5	THURS MARCH 6
A-1	OAF201: Fraud Audit School <b>Jim Vogt</b>		
B-1,2	Audit Planning; Risk Assessment, Walkthroughs and Technical Design of Controls <b>Danny Goldberg</b>		Emotional Intelligence and Executive Coaching for Auditors <b>Erica Honick</b>
C-1,2,3	The Science of Great Leadership mixed with The Science of Organizational Culture & Decision Making <b>Toby Groves</b>	Untapped 2025 - Next Level Thinking for Auditors <b>Toby Groves</b>	When the Facts Aren't Enough: The Art of Communicating Complex Information mixed with Advanced Communication for Auditors <b>Toby Groves</b>
D-1,2,3	Conflict Management <b>Keith Levick, Ph.D.</b>	Critical Conversations <b>Keith Levick, Ph.D.</b>	Insightful Leaders: Discovering Your Blind Spots From Employee's Eyes <b>Keith Levick, Ph.D.</b>
E-1	Key Controls for an Effective Cyber Security Program <b>Sajay Rai</b>		
F-1,2,3	Deep Dive on new IIA standards, EQA for the new Standards, and Performance Metrics for IA <b>Warren Hersch</b>	Third Party Risk Management (TPRM) <b>Dr. Hernan Murdock</b>	Becoming a Change Agent <b>Dr. Hernan Murdock</b>
G-1	Auditing Artificial Intelligence <b>John Tannahill</b>		

# 2025 Spring Conference Program

March 10, 2025 - March 27, 2025

## Virtual Courses

MONDAY	TUESDAY	WEDNESDAY	THURSDAY
<p><u>March 10, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) H-1 Information Security Management</p> <p>John Tannahill 8:30am to 4:30pm</p>	<p><u>March 11, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) J-1 Coaching Your Team to Victory: How to Help Every Employee Improve Their Job Performance</p> <p>Don Levonius 8:30am to 12:30pm</p>	<p><u>March 12, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) L-1 Risk-Based Approach to IT Control Assessments</p> <p>John Tannahill 8:30am to 4:30pm</p>	<p><u>March 13, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) M-1 Fraud Case Study</p> <p>Tali Ploetz 8:30am to 4:30pm</p>
<p><u>March 10, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) I-1 Critical Conversations: How to Engage in Difficult Discussions</p> <p>Don Levonius 8:30am to 12:30pm</p>	<p><u>March 11 - 12, 2025</u></p> <p><b>Virtual Course</b> — 2 days (16 hours) K-1 Audit Leadership Bootcamp - Intermediate</p> <p>Danny Goldberg/Neil Frieser 8:30a to 4:30pm</p>		<p><u>March 13, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) N-1 Creating a Culture of Hospitality: How to Improve the Employee Experience</p> <p>Don Levonius 8:30am to 12:30pm</p>
MONDAY	TUESDAY	WEDNESDAY	THURSDAY
<p><u>March 17, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) O-1 Technical Writing Skills for Internal Auditors</p> <p>Mary Breslin 8:30am to 4:30pm</p>	<p><u>March 18, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) Q-1 Audit Staff Bootcamp</p> <p>Danny Goldberg 8:30am to 4:30pm</p>	<p><u>March 19, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) S-1 Audit Manager Bootcamp - Intermediate</p> <p>Danny Goldberg 8:30am to 4:30pm</p>	<p><u>March 20, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) T-1 Transformative Audit Reporting</p> <p>Neil Frieser - GoldSRD 8:30am to 4:30pm</p>
<p><u>March 17, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) P-1 Driving Change: How to Accelerate Change Without Running Others Over</p> <p>Don Levonius 8:30am to 12:30pm</p>	<p><u>March 18, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) R-1 Managing Conflict: How to Move from Opposition to Agreement</p> <p>Don Levonius 8:30am to 12:30pm</p>	<p><b>NO 2ND CLASS</b></p>	<p><u>March 20, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) U-1 Transform Your Productivity and Time Management Skills</p> <p>Bret Kobel 8:30am to 4:30pm</p>
MONDAY	TUESDAY	WEDNESDAY	THURSDAY
<p><u>March 24, 2025</u></p> <p><b>Virtual Course</b> — 1/2 day (4 hours) V-1 Strategic Planning and Goal Setting: How to Move Your Organization in the Right Direction</p> <p>Don Levonius 8:30am to 12:30pm</p>	<p><u>March 25, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) X-1 Enterprise Risk Management</p> <p>Paul Zikmund 8:30am to 4:30pm</p>	<p><u>March 26, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) Z-1 Crisis Management and Business Continuity: Running an Effective Program</p> <p>Paul Zikmund 8:30am to 4:30pm</p>	<p><u>March 27, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) AB-1 Essential Interview Skills for Auditors</p> <p>Mary Breslin 8:30am to 4:30pm</p>
<p><u>March 24, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) W-1 Implementing Effective Ethics and Compliance Program</p> <p>Paul Zikmund 8:30am to 4:30pm</p>	<p><u>March 25, 2025</u></p> <p><b>Virtual Course</b> — 1 day (8 hours) Y-1 Thinking Beyond Patterns</p> <p>Toby Groves 8:30am to 4:30pm</p>	<p><u>March 26-27, 2025</u></p> <p><b>Virtual Course</b> — 2 days (16 hours) AA-1 Cyber Security Audits of Cloud-Native Applications</p> <p>Ken Cutler 8:30am—4:30pm</p>	

# 2025 Spring Conference Program

## TRACK and PRICING INFORMATION

Track		Format	Credits	Date	Fee
A-1	OAF201: Fraud Audit School 3 Days - Jim Vogt	In-Person	24	3/4-3/6	\$960
B-1	Audit Planning; Risk Assessment, Walkthroughs and Technical Design of Controls 2 Days - Danny Goldberg	In-Person	16	3/4-3/5	\$640
B-2	Emotional Intelligence and Executive Coaching for Auditors 1 Day - Erica Honick	In-Person	8	3/6	\$320
C-1	The Science of Great Leadership mixed with The Science of Organizational Culture & Decision Making 1 Day - Toby Groves	In-Person	8	3/4	\$320
C-2	Untapped 2025 - Next Level Thinking for Auditors 1 Day - Toby Groves	In-Person	8	3/5	\$320
C-3	When the Facts Aren't Enough: The Art of Communicating Complex Information mixed with Advanced Communication for Auditors 1 Day - Toby Groves	In-Person	8	3/6	\$320
D-1	Conflict Management 1 Day - Keith Levick, Ph.D.	In-Person	8	3/4	\$320
D-2	Critical Conversations 1 Day - Keith Levick, Ph.D.	In-Person	8	3/5	\$320
D-3	Insightful Leaders: Discovering Your Blind Spots From Employee's Eyes 1 Day - Keith Levick, Ph.D.	In-Person	8	3/6	\$320
E-1	Key Controls for an Effective Cyber Security Program 3 Days - Sajay Rai	In-Person	24	3/4-3/6	\$960
F-1	Deep Dive on new IIA standards, EQA for the new Standards, and Performance Metrics for IA 1 Day - Warren Hersch	In-Person	8	3/4	\$320
F-2	Third Party Risk Management (TPRM) 1 Day - Dr. Hernan Murdock	In-Person	8	3/5	\$320
F-3	Becoming a Change Agent 1 Day - Dr. Hernan Murdock	In-Person	8	3/6	\$320
G-1	Auditing Artificial Intelligence 3 Days - John Tannahill	In-Person	24	3/4-3/6	\$960
H-1	<b>Note: This session is virtual—1 day (8 hours)</b> Information Security Management  <b>John Tannahill</b> <b>March 10, 2025</b> <b>8:30am to 4:30pm</b>	Virtual	8	3/10	\$300
I-1	<b>Note: This session is virtual—1/2 day (4 hours)</b> Critical Conversations: How to Engage in Difficult Discussions  <b>Don Levonius</b> <b>March 10, 2025</b> <b>8:30am to 12:30pm</b>	Virtual	4	3/10	\$150
J-1	<b>Note: This session is virtual—1/2 day (4 hours)</b> Coaching Your Team to Victory: How to Help Every Employee Improve Their Job Performance  <b>Don Levonius</b> <b>March 11 2025</b> <b>8:30am to 12:30pm</b>	Virtual	4	3/11	\$150

# 2025 Spring Conference Program

## TRACK and PRICING INFORMATION

Track		Format	Credits	Date	Fee
K-1	<p><b>Note: This session is virtual—2 days (16 hours)</b> Audit Leadership Bootcamp - Intermediate</p> <p><b>Danny Goldberg/Neil Frieser</b> March 11 - 12, 2025 8:30a to 4:30pm</p>	Virtual	16	3/11-3/12	\$600
L-1	<p><b>Note: This session is virtual—1 day (8 hours)</b> Risk-Based Approach to IT Control Assessments</p> <p><b>John Tannahill</b> March 12, 2025 8:30am to 4:30pm</p>	Virtual	8	3/12	\$300
M-1	<p><b>Note: This session is virtual—1 day (8 hours)</b> Fraud Case Study</p> <p><b>Tali Ploetz</b> March 13, 2025 8:30am to 4:30pm</p>	Virtual	8	3/13	\$300
N-1	<p><b>Note: This session is virtual—1/2 day (4 hours)</b> Creating a Culture of Hospitality: How to Improve the Employee Experience</p> <p><b>Don Levonius</b> March 13, 2025 8:30am to 12:30pm</p>	Virtual	4	3/13	\$150
O-1	<p><b>Note: This session is virtual—1 day (8 hours)</b> Technical Writing Skills for Internal Auditors</p> <p><b>Mary Breslin</b> March 17, 2025 8:30am to 4:30pm</p>	Virtual	8	3/17	\$300
P-1	<p><b>Note: This session is virtual—1/2 day (4 hours)</b> Driving Change: How to Accelerate Change Without Running Others Over</p> <p><b>Don Levonius</b> March 17, 2025 8:30am to 12:30pm</p>	Virtual	4	3/17	\$150
Q-1	<p><b>Note: This session is virtual—1 day (8 hours)</b> Audit Staff Bootcamp</p> <p><b>Danny Goldberg</b> March 18, 2025 8:30am to 4:30pm</p>	Virtual	8	3/18	\$300
R-1	<p><b>Note: This session is virtual - 1/2 day (4 hours)</b> Managing Conflict: How to Move from Opposition to Agreement</p> <p><b>Don Levonius</b> March 18, 2025 8:30am to 12:30pm</p>	Virtual	4	3/18	\$150
S-1	<p><b>Note: This session is virtual—1 day (8 hours)</b> Audit Manager Bootcamp - Intermediate</p> <p><b>Danny Goldberg</b> March 19, 2025 8:30am to 4:30pm</p>	Virtual	8	3/19	\$300

# 2025 Spring Conference Program

## TRACK and PRICING INFORMATION

Track		Format	Credits	Date	Fee
T-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Transformative Audit Reporting</p> <p><b>Neil Frieser - GoldSRD</b>                      March 20, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/20	\$300
U-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Transform Your Productivity and Time Management Skills</p> <p><b>Bret Kobel</b>                      March 20, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/20	\$300
V-1	<p><b>Note: This session is virtual—1/2 day (4 hours)</b>                      Strategic Planning and Goal Setting:                      How to Move Your Organization in the Right Direction</p> <p><b>Don Levonius</b>                      March 24, 2025                      8:30am to 12:30pm</p>	Virtual	4	3/24	\$150
W-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Implementing Effective Ethics and Compliance Program</p> <p><b>Paul Zikmund</b>                      March 24, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/24	\$300
X-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Enterprise Risk Management</p> <p><b>Paul Zikmund</b>                      March 25, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/25	\$300
Y-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Thinking Beyond Patterns: Finding Meaning in Your Data</p> <p><b>Toby Groves</b>                      March 25, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/25	\$300
Z-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Crisis Management and Business Continuity:                      Running an Effective Program</p> <p><b>Paul Zikmund</b>                      March 26, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/26	\$300
AA-1	<p><b>Note: This session is virtual—2 days (16 hours)</b>                      Cyber Security Audits of Cloud-Native Applications</p> <p><b>Ken Cutler</b>                      March 26-27, 2025                      8:30am—4:30pm</p>	Virtual	16	3/26-3/27	\$600
AB-1	<p><b>Note: This session is virtual—1 day (8 hours)</b>                      Essential Interview Skills for Auditors</p> <p><b>Mary Breslin</b>                      March 27, 2025                      8:30am to 4:30pm</p>	Virtual	8	3/27	\$300

# TRACK A-1 - TUESDAY, MARCH 4, 2025— THURSDAY, MARCH 6, 2025

## FRAUD AUDIT SCHOOL INSTRUCTOR: JIM VOGT CLASS FORMAT: IN-PERSON 24 CPEs

### Seminar Focus and Features:

This course provides audit practitioners with a comprehensive understanding of the types of fraud affecting organizations and shows proven techniques for preventing and detecting fraud.

### Learning Objectives:

- Describe fraud concepts
- Assess the scope and objectives of a forensic audit project
- Identify and apply fraud detection and investigate concepts and practices to the internal audit process
- Demonstrate how to apply the concepts learned in the planning, fieldwork, and reporting phases of audits
- Explain how fraud and various risks can be incorporated into anti-fraud programs

#### 1. What is Fraud?

- Overview
- Introduction to Fraud
- Historical Frauds
- Frauds Theories
- The Fraud Triangle and the Elements of Fraud

#### 2. Auditing Standards

- Professional Auditing Standards
- Common Auditing Standards

#### 3. Types of Frauds

- Cash Frauds
- Check Frauds
- Credit Card Frauds
- Inventory Frauds
- Fixed Asset Frauds
- Payroll Frauds
- Accounts Receivable Frauds
- Accounts Payable Frauds
- Expense Reimbursement Fraud
- Introduction to Cyber Fraud
- Introduction to Identity Theft
- Identity Theft Frauds
- Identity Theft – Obtaining Victim’s Information
- Tax Frauds
- Phishing
- Vishing
- Smishing
- Counterfeit Currency
- Government Benefits Frauds

#### 4. Revenue Frauds

- Revenue Frauds
- Payable Frauds
- Financial Statement Frauds

#### 5. Types of Corruption

- Introduction to Corruption
- Bribery and Illegal Gratuities



**TRACK A-1 - TUESDAY, MARCH 4, 2025— THURSDAY, MARCH 6, 2025**

**FRAUD AUDIT SCHOOL  
INSTRUCTOR: JIM VOGT  
CLASS FORMAT: IN-PERSON  
24 CPEs**

**CONTINUED**

**6. Money Laundering**

- Money Laundering
- Money Laundering Schemes

**7. Risk Assessments**

- Introduction to Risk Assessments

**8. Internal Controls Frameworks**

- Internal Control Frameworks
- COSO Framework
- Examples of Internal Controls
- Cybersecurity

**9. Fraud Investigations**

- Basics of Fraud Investigation
- The Legal System
- Data Analytics and Audit
- Discovery
- Conducting Interviews
- Gathering Evidence
- Managing Evidence
- Online Investigations
- Searching for Hidden Assets
- Data Analytics in Fraud Investigations
- Fraud Investigation Reports
- Tools for Detecting Tax Frauds
- Introduction to Testimony
- Depositions
- Trial Testimony

**10. Fraud and Ethics**

- Introduction to Ethics
- Ethics for Fraud Examiners
- Reporting Unethical Behavior
- Whistleblower Programs
- IRS Whistleblower Programs
- Conflicts of Interest
- Auditor Bias

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing & Risk Management

## TRACK B-1 - TUESDAY, MARCH 4, 2025—WEDNESDAY, MARCH 5, 2025

### AUDIT PLANNING; RISK ASSESSMENT, WALKTHROUGHS AND TECHNICAL DESIGN OF CONTROLS

**INSTRUCTOR: DANNY GOLDBERG**

**CLASS FORMAT: IN-PERSON**

**16 CPEs**

#### **Seminar Focus and Features:**

Planning is the foundation for every efficient and effective audit. If audit teams take the time to build an effective audit risk assessment and plan the audit accordingly, the opportunity for a smooth and under budget audit increases exponentially. This one-day course will take attendees through the basics of audit-level risk assessments and appropriate steps throughout the planning phase of an audit.

#### **Learning Objectives:**

- Attendees will learn how to identify audit risk and appropriate audit planning tools and techniques.
- Attendees will learn how to apply critical thinking skills to the planning and risk assessment phase to perform an effective audit.
- Attendees will discover the best techniques for team management

#### **Agenda:**

- Overview – The End-to-End Audit Process
  - The Importance of Effective Planning
- The Engagement Risk Assessment Process
  - Hypothesis of Risk – Preliminary Objectives
  - Hypothesizing Preliminary Objectives and Root Causes
  - Inherent Risk
- Knowledge Gathering
- Authoritative Research
- Interview Management
  - Internal Controls
  - Walkthroughs
- Ratio Analysis
- What Else Can We Provide?
- Updated Risk Hypothesis – Weighting of Preliminary Work

#### **Walkthroughs**

#### **Learning Objectives:**

- Understand the purpose of an audit walkthrough and how it fits into the audit process.
- Learn how to structure and conduct a walkthrough effectively.
- Develop the skill of asking the right questions during a walkthrough + building and enhancing audit interviewing skills.
- Role-play scenarios to practice and enhance auditing skills.

#### **Agenda:**

- Introduction to Audit Walkthroughs
- Structuring an Effective Walkthrough
- Developing Strong Walkthrough Questions
- Understanding Key Audit Principles
- Effective Communication Skills
- Documenting the Walkthrough
- Identifying and Evaluating Controls
- Common Pitfalls and Challenges in Walkthroughs
- Audit Interviewing
- Sample Walkthrough and Interview Questions for Payroll Process
- Wrap-Up and Q&A Session

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing & Risk Management

**TRACK B-2 - THURSDAY, MARCH 6, 2025**  
**EMOTIONAL INTELLIGENCE AND EXECUTIVE COACHING FOR AUDITORS**  
**INSTRUCTOR: ERICA HONICK**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Emotional intelligence describes the ability to understand one's own feelings, and that of groups, and how these emotions can influence motivation and behavior. As an auditor, we must constantly manage different personalities, working with our auditees, our audit teams and management. The diversity is challenging, and emotionally intelligent auditors are more successful!

**Objectives:**

- Define and practice self-management, self-awareness, self-regulation, self-motivation, and empathy
- Understand, use, and manage your emotions
- Verbally communicate with others
- Identify the benefits of emotional intelligence
- Balance optimism and pessimism
- Effectively manage your audit team and auditees

Executive coaching can be utilized internally and externally to help employees take their careers to the next level. This course will take attendees to the basics of executive coaching and how it can be applied to any situation.

**Objectives**

- Understand the basics of executive coaching
- Discuss the misconceptions of executive coaching
- Learn about how executive coaching can be applied internally and externally

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Personal Development

**TRACK C-1 - TUESDAY, MARCH 4, 2025**  
**THE SCIENCE OF GREAT LEADERSHIP MIXED WITH THE SCIENCE OF  
ORGANIZATIONAL CULTURE & DECISION MAKING**

**INSTRUCTOR: TOBY GROVES**

**CLASS FORMAT: IN-PERSON**

**8 CPEs**

**Seminar Focus and Features:**

Leadership skills have never been more important, in all industries and at all levels, whether executive or front-line employees. Organizations succeed in meaningful ways when their individual members have the ability to inspire action, build trust, solve complex problems, coordinate effective teams, and resolve contentious conflicts without damaging important relationships. This requires a deep understanding of human motivation, emotional intelligence, and nuances of cultural psychology. This session teaches a powerful model that allows attendees to build their own core leadership skills as well as the psychology of performance and motivation and the social psychology of organizational behavior.

In today's rapidly evolving world, mastering the art of nurturing a vibrant organizational culture is paramount. The subtle dance of unspoken norms often dictates the rhythm of organizational life far more than any written policy, shaping success through the intricate web of member interactions rather than mere individual competence. Beyond the reach of traditional metrics, the essence of a truly effective culture lies in understanding and influencing the complex communication patterns that pulse through an organization. This session not only demystifies the process of assessing the undercurrents of organizational culture but also embarks on a groundbreaking journey towards cultivating a dynamic environment. Participants will be equipped with innovative strategies to understand and enhance organizational systems and thinking, paving the way for a culture that fosters exceptional decision-making and revolutionary growth.

**Major Subjects:**

- New research in leadership neuroscience
- Assessing culture and tone at the top
- Implementing an adaptive culture
- The psychological science of resilience
- How to inoculate organizational DNA with ideas that spread
- The science behind why some groups “click” and others don’t
- What causes organizational attitudes to shift and behavioral patterns to emerge
- What is a social immune system and how does it work
- Why groupthink develops and how to stop it
- Unconscious bias in teams
- How to assess various dimensions of organizational culture
- Effective change management processes
- Understanding organizational DNA and how to build a curiosity culture
- The psychology of diversity, innovation and resilience
- The science of group dynamics and systems thinking

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Auditing & Risk Management

**TRACK C-2 - WEDNESDAY, MARCH 5, 2025**  
**UNTAPPED 2025— NEXT LEVEL THINKING FOR AUDITORS**  
**INSTRUCTOR: TOBY GROVES**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

This highly acclaimed session, tailored specifically for auditors and led by Dr. Toby Groves, a researcher in the field, embarks on a captivating exploration of the science behind effective audit practices. The session serves as a practical and inspirational call to action, equipping auditors with powerful tools to enhance their thinking when it matters most. It introduces new thinking, daily habits, and advanced strategies that substantially improve judgment and decision-making. Participants will learn to cultivate the foundational cognitive abilities that support the key skills required for auditors, enabling them to perceive insights that remain unnoticed by others. The course teaches how to dismantle mental blocks and approach problem-solving from fresh perspectives. These same strategies naturally promote confidence, mental clarity, flexibility, and resilience which improves mental well-being and resistance to stress and burnout. Additionally, we will critically evaluate our audit approaches in light of emerging issues such as artificial intelligence, regulatory changes, sustainability, remote auditing, data analytics, fraud detection, and cybersecurity, equipping auditors with the foresight and adaptability required to excel in the evolving audit environment.

**Major Subjects:**

- **Cognitive Flexibility in Auditing:** Enhance auditors' ability to adapt their thinking to new information and diverse situations.
- **Advanced Decision-Making Strategies:** Equip auditors with techniques to mitigate biases and improve judgment and decision-making accuracy.
- **Emotional Intelligence in Professional Skepticism:** Develop auditors' ability to manage emotions and relationships, crucial for applying professional skepticism effectively.
- **Decoding Complexities: Understanding the Deep vs. Surface Structure of Problems in Auditing:** Equip auditors with skills to differentiate between the superficial and underlying aspects of problems for more effective analysis and resolution.
- **Situational Awareness and Adaptive Thinking for Auditors:** Sharpen auditors' awareness of their environment to better anticipate and respond to potential issues.
- **Optimal Mental Orientation for Peak Performance in Auditing:** Foster a positive, resilient mindset geared towards continuous improvement and high performance.
- **Deep Skepticism in Auditing:** Promote a deeper, reflective form of skepticism that goes beyond surface analysis to uncover biases and assumptions.
- **Strategic Problem-Solving and Critical Thinking:** Focus on systematic approaches to complex problem-solving and the improvement of critical thinking skills.
- **Memory Enhancement Techniques for Auditors:** Introduce memory strategies to improve retention and recall of critical information and regulatory standards.
- **Enhanced Concentration:** Teach stress management and mindfulness techniques to enhance concentration and performance under pressure.

**Prerequisite:** 2 years of business experience or equivalent higher education

**Learning Level:** Intermediate

**Field of Study:** Personal Development

**TRACK C-3 - THURSDAY, MARCH 6, 2025**  
**WHEN THE FACTS AREN'T ENOUGH: THE ART OF  
COMMUNICATING COMPLEX INFORMATION MIXED WITH  
ADVANCED COMMUNICATION FOR AUDITORS**  
**INSTRUCTOR: TOBY GROVES**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Mastering the art of translating complex information into influential insights is a skill that transcends mere data analysis—it's about crafting narratives that resonate and motivate. Our session, 'When the Facts Aren't Enough,' focuses on the nuanced art of making intricate data accessible and compelling, even to those unfamiliar with the subject matter. This expertise signifies not just an in-depth comprehension of the data but a profound insight into its real-world implications and how it's perceived by diverse audiences. By adopting the perspectives of your listeners and embodying their experiences, this interactive workshop delves into the cognitive strategies and thinking paradigms essential for clear, impactful communication. Participants will emerge with enhanced abilities to convey their knowledge persuasively, ensuring their messages are not just heard but felt and acted upon.

**Major Subjects:**

- **Effective Communication of Complex Information:** Strategies for breaking down intricate data into comprehensible, engaging narratives for non-experts.
- **Psychology of Influence and Persuasion:** Understanding how to inspire action and effect change through informed communication practices.
- **Cognitive Flexibility in Auditing:** Developing the ability to view data from multiple perspectives, enhancing empathy with diverse audiences.
- **Enhancing Clarity and Impact in Messaging:** Techniques for auditors to refine their messaging for clarity, ensuring their findings are not just presented, but truly understood and acted upon.
- **Interactive Skills for Audience Engagement:** Practical approaches for engaging with varied audiences, fostering a two-way dialogue that enhances the receptiveness of complex audit findings.

**Learning Objectives:**

- **Identify Techniques for Simplifying Complex Information:** Learn to identify and apply techniques to distill complex audit data into simplified, understandable formats that resonate with non-expert audiences.
- **Develop Strategies for Effective Persuasion:** Develop a set of strategies that leverage psychological principles of influence and persuasion to inspire action and change in response to audit findings.
- **Enhance Audience Engagement Skills:** Enhance skills for actively engaging diverse audiences, ensuring that complex information is not only delivered but also received and understood, facilitating a meaningful dialogue around audit outcomes.

**Prerequisite:** At least 1 year in industry

**Learning Level:** Intermediate

**Field of Study:** Communication Skills

**TRACK D-1 - TUESDAY, MARCH 4, 2025**  
**CONFLICT MANAGEMENT**  
**INSTRUCTOR: KEITH LEVICK, Ph.D.**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Negative conflict in a business setting can be expensive, counterproductive, time-consuming, and labor-intensive. Conversely, constructive conflict can bring about new ideas and problem-solving methods. This course provides the skills to manage negative conflict and promote positive working relationships.

During the workshop, participants will identify their destructive and constructive conflict styles and "hot buttons." They will explore the common blockers that hinder the resolution process. Additionally, participants will practice navigating through a conflict using a five-step collaborative process.

**Objectives:**

The program offers participants the opportunity to:

- Identify how and why conflict exists
- Recognize that conflicts represent differences in opinions and beliefs
- Identify how to think their way through a conflict
- Identify destructive and constructive types of conflict
- Recognize their "hot buttons"
- Define several blockers that hinder one's ability to resolve conflicts
- Practice the CAREfront Model

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Leadership / Business Management

**TRACK D-2 - WEDNESDAY, MARCH 5, 2024**

**CRITICAL CONVERSATIONS**

**INSTRUCTOR: KEITH LEVICK, Ph.D.**

**CLASS FORMAT: IN-PERSON**

**8 CPEs**

**Seminar Focus and Features:**

Have you ever faced the challenge of having a discussion with a co-worker that you fear will turn into a nightmare? Have you ever avoided a difficult conversation because you were anxious about how the other person would react? If so, this course is perfect for you! By the end of this seminar, you will master proven techniques that give you the control and power you need to transform toxic talk into healthy dialogue – enabling you to talk to anyone, at any time, anywhere, about anything.

**Objectives:**

The program offers participants the opportunity to:

- Set the stage for safe, risk-free discussions
- Recognize blockers that may hinder having a healthy discussion
- Master a six-step process for having difficult conversations
- Control hostile discussions
- Use the “Colombo Technique” to disarm and control hostile encounters
- Become detached listeners during difficult times
- Recognize key non-verbal messages and body language cues

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Leadership / Business Management



**TRACK D-3 - THURSDAY, MARCH 6, 2024**  
**INSIGHTFUL LEADERS:**  
**DISCOVERING YOUR BLIND SPOTS FROM EMPLOYEE'S EYES**  
**INSTRUCTOR: KEITH LEVICK, Ph.D.**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Would you fire your boss if you had the opportunity? Have you ever worked for a disrespectful leader who was a poor listener and only cared about themselves? Sometimes, leaders operate in ways that prevent them from listening to and connecting with their employees.

This course examines the key points from Dr. Levick and Matt Bertman's book, which will be released in the Spring of 2025. Participants will be able to use the Insightful Leader Inventory, explore why 60% would fire their boss, and learn and practice the skills found in the INSIGHT Model.

**Who Should Attend:** A Leader, Supervisor, Manager, Team Lead, Project Leader, and/or someone thinking about becoming a leader.

**Objectives:**

The program offers participants the opportunity to:

- Identify reasons 60% of employees would fire their bosses
- Examine what workers were willing to give up for one year to fire their bosses
- Recognize the importance of neuroscience in leadership
- Examine and practice the skills found in the INSIGHT Model
  - Inspire
  - Navigate
  - Social-Emotional Intelligence
  - Influence
  - Honesty
  - Trust

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Leadership / Business Management

## TRACK E-1 - TUESDAY, MARCH 4, 2025— THURSDAY, MARCH 6, 2025

### KEY CONTROLS FOR AN EFFECTIVE CYBER SECURITY PROGRAM

**INSTRUCTOR: SAJAY RAI**

**CLASS FORMAT: IN-PERSON**

**24 CPEs**

#### **Seminar Focus and Features:**

- Introduce cyber security frameworks and how key controls can be identified for deployment
- Role of NIST CSF Framework
- Use of NIST CSF Framework in identifying key cyber security controls (independent of the framework used by the audience)
- Discuss approximately 75 key controls
- Discuss tools (including Kali Linux) which can help in deploying controls
- Provide demos of key tools
- Conduct hands-on exercises
- Provide actionable steps audience can perform once they complete the class

#### **Course Outline:**

- Provide a framework by identifying key cyber security controls in the area of:
- Governance and Compliance
- Security Organization and Structure
- Risk Management
- Human Resources
- Asset Management
- Identity and Access Management
- Network Security
- Systems and Operations
- Physical Security
- Software Development and Maintenance
- Incident Response
- Disaster Recovery and Business Continuity
- 3<sup>rd</sup> party management
- Logging and Monitoring

Kali Linux and other tools will either be demonstrated or the students will get hands-on training (depending on if they can download the software). If not, those students will be paired with others who can download the software.

**Prerequisite:** None

**Learning Level:** Beginner to Intermediate

**Field of Study:** Cyber security

**TRACK F-1 - TUESDAY, MARCH 4, 2025**  
**DEEP DIVE ON NEW IIA STANDARDS, EQA FOR THE NEW STANDARDS, AND**  
**PERFORMANCE METRICS FOR INTERNAL AUDIT**

**INSTRUCTOR: WARREN HERSCH**

**CLASS FORMAT: IN-PERSON**

**8 CPEs**

**Seminar Focus and Features:**

**Maximizing Internal Audit Quality Through the Effective Implementation of the IIA Standards**

In 2024, the IIA published an update to the IPPF, including new Global Internal Audit Standards. The new Standards seek to elevate the quality of internal audit by requiring an internal audit function to demonstrate not only conformance but also performance.

In this session, you will be provided with insight into how to effectively implement the new Standards to ensure that internal audit provides the best possible quality service to your organization. In addition, Mr. Hersh will provide tips and suggestions on how to implement the Standards successfully and practically in the most efficient and effective way drawing on his vast experience in conducting quality assessment reviews and helping to establish and improve internal audit functions.

**CPE Learning Objectives:**

In this session, participants will gain practical knowledge of:

- Why The Standards Matter
- The New Global Internal Audit Standards Overview
- Tips and Techniques for the Successful Implementation of the Standards
- Common Observations from Quality Assessment Reviews

**New IPPF – Quality Assessment Challenges and Opportunities 2025 and Beyond - Roundtable**

In 2024, the IIA issued an update to the IPPF, including new Global Internal Audit Standards. The Standards contain many updates and clarifications to enhance the profession of internal auditing, some of which will have an impact on external quality assessments. The new Standards also seek to elevate the quality of the assessments by requiring an internal audit function to demonstrate not only conformance but also performance. Internal audit functions and external quality assessors may face challenges and opportunities from the new requirements.

In this roundtable open discussion, you will gain knowledge and insight on how: (1) the new Standards will impact future quality assessment reviews; (2) to successfully prepare, and (3) to effectively implement the new Standards to ensure that internal audit provides the best possible quality service to their organization.

**CPE Learning Objectives:**

This roundtable will provide the opportunity to raise and discuss concerns and challenges relative to:

- Changes with the new Standards related to Quality.
- Impacts of the new Standards on future quality assessments.
- Developing a strategy for meeting the requirements.
- Leading practices for successful quality assessment reviews.
- Other concerns, challenges, and opportunities

**TRACK F-1 - TUESDAY, MARCH 4, 2025**  
**DEEP DIVE ON NEW IIA STANDARDS, EQA FOR THE NEW STANDARDS, AND**  
**PERFORMANCE METRICS FOR INTERNAL AUDIT**

**INSTRUCTOR: WARREN HERSCH**

**CLASS FORMAT: IN-PERSON**

**8 CPEs**

**CONTINUED**

**Seminar Focus and Features:**

**Exceeding the Standards Quality Requirements Through an Innovative Metrics Framework**

To address the new Standards relative to Quality Assurance and Improvement Program (QAIP) requirements, an innovative metrics framework is an essential strategy to measure and improve the effectiveness of your department, improve quality, and deliver and exceed stakeholder expectations. The framework should include the appropriate mix of relevant internal and external assessments that fit the strategy and culture of your organization.

In this informative session, you will come away with strategies and practices to effectively meet or exceed the QAIP requirements and improve the performance of your department. Mr. Hersh will provide tips and suggestions on how to implement an effective metrics framework drawing on his vast experience in conducting quality assessment reviews and helping to establish and improve internal audit functions.

**CPE Learning Objectives:**

In this session, participants will gain practical knowledge of:

- Why performance metrics matter
- The New Quality Assurance and Improvement Program Standards
- How to ensure that performance effectiveness is demonstrated
- Establishing a balanced approach to meeting stakeholder needs and expectations (Case Study)
- Closing the loop – communication strategies

**Prerequisite:** None

**Learning Level:** Beginner to Intermediate

**Field of Study:** Auditing

**TRACK F-2 – WEDNESDAY, MARCH 5, 2025**  
**THIRD PARTY RISK MANAGEMENT (TPRM)**  
**INSTRUCTOR: DR. HERNAN MURDOCK**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Enhance your expertise with our course on Third Party Risk Management (TPRM). In today's interconnected business world, managing third-party risks is crucial. This course delves into the vital elements of TPRM, offering a comprehensive understanding of key compliance requirements, common challenges, and practical solutions. Participants will gain valuable insights to protect their organizations, data, and related processes. By the end of this course, you'll be equipped with the skills and knowledge to strengthen your organization's third-party governance, risk management, compliance and operational programs. Join us to elevate your professional capability, add value, and help protect your organization.

**Learning Objectives:**

- Define third-party risk management (TPRM) and identify key compliance requirements.
- Describe ways to categorize and prioritize third-party risks.
- List due diligence techniques in vendor selection and describe essential controls to mitigate identified third-party risks.
- Describe common issues and challenges in TPRM and develop strategies to enhance their organization's risk management and compliance programs.

**Learning Competencies:**

- Regulatory knowledge
- Vendor management
- Continuous monitoring

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing and Risk Management

**TRACK F-3 – THURSDAY, MARCH 6, 2025**  
**BECOMING A CHANGE AGENT**  
**INSTRUCTOR: DR. HERNAN MURDOCK**  
**CLASS FORMAT: IN-PERSON**  
**8 CPEs**

**Seminar Focus and Features:**

Learn the skills to emerge as a catalyst for change with our course Becoming a Change Agent. Designed for internal auditors aiming to drive transformation, this course covers both tactical and strategic change management techniques across people, processes, and systems. Learn essential skills for planning and implementing change, improving company culture, and mastering project management. You'll gain insights into making change initiatives compelling, securing stakeholder buy-in, and achieving greater engagement across all organizational levels. Additionally, discover strategies to address inaction and interference, ensuring sustainable change. Equip yourself with the knowledge and tools to lead effective transformations within your organization.

**Learning Objectives**

- Identify change drivers and key requirements for effective transformation
- Describe the stages of change and their characteristics
- Identify change targets and develop strategies to gain their support
- List key strategies to address resistance

**Learning Competencies**

- Visionary thinking
- Strategic planning
- Communication skills
- Influence and persuasion

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Audit and Leadership Skills

**TRACK G-1 - TUESDAY, MARCH 4, 2025— THURSDAY, MARCH 6, 2025**

**AUDITING ARTIFICIAL INTELLIGENCE**

**INSTRUCTOR: JOHN TANNAHILL**

**CLASS FORMAT: IN-PERSON**

**24 CPEs**

**Seminar Focus and Features:**

This 3-day session will focus on the risk, security and control issues related artificial intelligence (AI) with a specific focus on audit and control of Generative AI & Large Language Models (LLM). The session will also include the use of AI for audit and control assessments.

**Key Learning Objectives:**

- Understand Machine Learning and Artificial Intelligence Concepts
- Understand business, technology and security risks
- Understand use of AI for Security and Control
- Auditing AI Governance and Risk Management
- Auditing Generative AI
- Using AI for Audit
- Security and Audit Resources available

**Specific Topic Areas Include:**

**Artificial Intelligence Overview:**

- Definitions and Key concepts
- Artificial Intelligence
- Artificial Intelligence Systems
- Machine Learning (ML)
- Neural Networks
- Deep Learning (DL)
- transformers
- Neural Networks
- Large Language Models (LLM)
- Generative AI

**Key AI Risks:**

- AI Governance Risks
- Security Risk Assessments & Threat Modelling
- Use of AI by Adversarial Threat Actors
- Bias & Discrimination
- AI Technology Risks
- Secure Coding
- Deepfakes
- Security Risks of Generative AI
- Generative AI Security Examples
- Controls to Mitigate Risks

**TRACK G-1 - TUESDAY, MARCH 4, 2025— THURSDAY, MARCH 6, 2025**

**AUDITING ARTIFICIAL INTELLIGENCE**

**INSTRUCTOR: JOHN TANNAHILL**

**CLASS FORMAT: IN-PERSON**

**24 CPEs**

**CONTINUED**

**AI Security & Control Use Examples:**

- Machine Learning and Data Sets
- Security Design
- Data Analysis
- Security Operations
- Vulnerability Identification
- Penetration Testing
- Malware Defense
- Network Traffic Analysis
- Intrusion Detection
- Logging and Monitoring / SIEM

**Auditing Generative AI:**

- AI Business Strategy
- Governance
- Generative AI Policy
- Legal and Regulatory Requirements
- Organization Responsibilities
- Risk Management
- AI Systems & Tools Inventory
- Large Language Models (LLM)
- Secure Software Development
- Operational Controls
- Monitoring
- AI Assurance Controls
- Awareness Training

**User AI for Audit**

- Audit Universe
- Audit Plans / Audit Programs
- Data Collection
- Data Analysis
- Control Testing
- Documentation and Reporting
- Security & Audit Resources

**Prerequisite:** None

**Learning Level:** Beginner to Intermediate

**Field of Study:** IT Audit



**TRACK H-1 - MONDAY, MARCH 10, 2025**  
**INFORMATION SECURITY MANAGEMENT**  
**INSTRUCTOR: JOHN TANNAHILL**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

This session will provide detailed discussion of key information security management areas. We will focus on processes used to manage governance, risk and compliance areas relating to information security within the enterprise.

**Specific Topic Areas Include:**

**Information Security Governance:**

- Information Security Frameworks and Standards (e.g. ISO27001/27002)
- Information Security Organization
- Information Security Policy and Standards
- Cybersecurity v. Information Security
- Information Security Threat / Risk Management
- Information Security Certifications (e.g. CISM)

**Information Management Areas Including:**

- Security Architecture and Design
- Asset Management / Configuration Management
- Human Resource Security
- Information Security Awareness
- Physical and Environment Security
- Security Operations
- Identity & Access Management (IAM)
- Logical Access Control
- Network Security
- Operating System Security
- Secure Systems Development
- Application System Security
- Incident Management
- Malware Defense
- Disaster Recover and Business Continuity Planning
- Vulnerability Management
- Security Compliance

**Information Security Resources**

- References to Audit Program Resources e.g. ISACA Audit Programs
- Information Security & Control Resources

**Prerequisite:** None

**Learning Level:** Beginner to Intermediate

**Field of Study:** IT Audit

**TRACK I-1 - MONDAY, MARCH 10, 2025 (1/2 DAY A.M.)**  
**CRITICAL CONVERSATIONS: HOW TO ENGAGE IN DIFFUCULT DISCUSSIONS**  
**INSTRUCTOR: DON LEVONIUS**  
**CLASS FORMAT: VIRTUAL**  
**4 CPEs**  
**8:30AM—12:30pm**

**Seminar Focus and Features:**

Do you find it difficult to discuss certain topics, such as unsafe working conditions, poor job performance, office politics, religion, or diversity? You're not alone! This program will help you engage in difficult discussions about emotional, high-stakes, risky, and even "taboo" topics by showing you how to examine your own motives, create safe conditions, and share your views without becoming divisive or defensive. Learning to engage in critical conversations (and encouraging others to do so) will strengthen your relationships, increase collaboration, improve group decision-making, and drive results. Want to learn to speak openly, honestly, and assertively about virtually anything with anyone? This program is for you!

**Learning Objectives:**

- Speak life to others, by being persuasive not abrasive
- Promote collaboration, teamwork, and true inclusion
- Transform anger and hurt feelings into powerful dialog
- Resolve individual and group disagreements

**Learning Competencies:**

- Interpersonal communication
- Managing and resolving conflict

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Leadership / Business Management and Organization

**TRACK J-1 - TUESDAY, MARCH 11, 2025 (1/2 DAY A.M.)**

**COACHING YOUR TEAM TO VICTORY: HOW TO HELP EVERY EMPLOYEE IMPROVE  
THEIR JOB PERFORMANCE**

**INSTRUCTOR: DON LEVONIUS**

**CLASS FORMAT: VIRTUAL**

**4 CPEs**

**8:30AM—12:30PM**

**Seminar Focus and Features:**

Leaders are responsible for helping every employee improve their performance. Yet, many leaders aren't sure how to do so, so they either avoid the subject or resort to ambiguous feedback like, "Great job!" or "Try harder!" Through group discussions and skill practice, this program will show you how to hold employees accountable for their own job performance by providing specific real-time feedback and setting actionable improvement expectations. Want to help every employee improve their job performance? This program will show you how!

**Learning Objectives:**

- Identify essential elements of effective feedback
- Organize observations, thoughts, and feedback
- Apply proven techniques to enhance employee self-awareness, self-efficacy, and job performance
- Develop an action plan for providing feedback and coaching to a current employee

**Learning Competencies:**

- Addressing employee performance issues
- Leading, motivating, and developing employees

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Leadership / Business Management and Organization

**TRACK K-1 - TUESDAY, MARCH 11, 2025— WEDNESDAY, MARCH 12, 2025**

**AUDIT LEADERSHIP BOOT CAMP— INTERMEDIATE**

**INSTRUCTOR: DANNY GOLDBERG/NEIL FRIESER**

**CLASS FORMAT: VIRTUAL**

**16 CPEs**

**Seminar Focus and Features:**

Two-day long virtual interactive program focused on critical aspects to managing a successful internal audit function. Participants will receive a workbook to help them identify possible obstacles within their own function and actionable takeaways to help them make meaningful changes after the program ends. The first two days will focus on a variety of internal topics while the last two days will focus on external engagement outside the department. This program is geared primarily towards internal audit leaders and their direct reports. Featured presenters include Danny Goldberg, GoldSRD and Neil Frieser, former North American and Global IIA Board Member and former CAE for a Fortune 500 company for 15 years.

**Proposed Preliminary Agenda (minutes):**

Day 1 - Internal Focus

- Introductions (15)
- Annual Planning/Risk Assessment (100)
- Engagement Management (85)
- Strategic Planning (60)
- Staff Management (80)
- Data Analytics and Sampling Methodology (60)

Day 2 - External Focus

- Audit Committee Reporting (60)
- Management Engagement (40)
- Audit Reporting (100)
- Specialized Knowledge/IT Audit/Co-Sourcing (60)
- Standards Compliance/EQA Prep (40)
- Best Practices Roundtable (90)
- Wrap Up (10)

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing

**TRACK L-1 - WEDNESDAY, MARCH 12, 2025**  
**RISK-BASED APPROACH TO IT CONTROL ASSESSMENTS**  
**INSTRUCTOR: JOHN TANNAHILL**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

A risk-based approach to design, operation and assessment of information security and control is critical to ensuring that an organization's information assets are adequately protected. This session will discuss a risk-based approach to assessment of security and control in the following areas:

- Security Configuration
- Patch Management
- Change Management
- Identity & Access Management
- Privilege Management
- Vulnerability Management
- Security Compliance
- Security Incident & Event Management (SIEM)

**Risk & Control Matrix**

- Information Security Governance
- Information Security Policy and Standards
- Risk Profile / Threat Landscape

**IT Risks & Controls Areas**

The approach to building risk profiles, key controls and assessment methodologies will be discussed and applied to the following technology environments:

- Cloud Security
- Network Security
- Operating System Security
- Database Security

**Audit Tools and Techniques**

- References to Audit Program Resources e.g. ISACA Audit Programs
- Security & Control Resources

**Prerequisite:** *How to Perform an IT General Controls Review (AA03)* or equivalent training. A basic understanding of IT audit controls and terminology is assumed.

**Learning Level:** Intermediate

**Field of Study:** Auditing

**TRACK M-1 - THURSDAY, MARCH 13, 2025**

**FRAUD CASE STUDY**

**INSTRUCTOR: TALI PLOETZ**

**CLASS FORMAT: VIRTUAL**

**8 CPEs**

**Seminar Focus and Features:**

Auditors are expected to have basic fraud knowledge to meet the expectations outlined in industry guidelines and corporate job descriptions. This course will cover the minimum fraud standards expected of auditors using a case study classroom approach. This hands-on training will cover a current fraud case to give attendees fraud basics while also allowing them to apply the skills needed to build and refine their fraud auditing skillsets.

**Who Should Attend:** Professionals that are looking to refine their fraud audit skills. (NASBA Field of Study: Auditing)

**Objectives:**

- Discuss the basic elements of fraud
- Review internal audit industry requirements fraud detection
- Discuss and review a current fraud case
- Discuss techniques to identify fraud within your organization
- Learn how to apply traditional audit strategies to fraud audits
- Discuss the need to evaluate and refine your audit plan and testing strategy when fraud is suspected

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Audit & Fraud

**TRACK N-1 - THURSDAY, MARCH 13, 2025 (1/2 DAY A.M.)**

**CREATING A CULTURE OF HOSPITALITY:  
HOW TO IMPROVE THE EMPLOYEE EXPERIENCE**

**INSTRUCTOR: DON LEVONIUS**

**CLASS FORMAT: VIRTUAL**

**4 CPEs**

**8:30AM—12:30PM**

**Seminar Focus and Features:**

The man who envisioned the world-renown service culture of Walt Disney Parks and Resorts died over a half-century ago, yet his flagship theme park is still known as “The Happiest Place on Earth.” Walt knew that hospitality isn’t about amenities, it’s about making others feel welcome and appreciated. In today’s complex and competitive employment environment, hospitality is a key to creating happy workplaces. Fasten your seatbelt because this fast-paced e-ticket program, presented by former Disney leader Don Levonius, will inspire you to view employee experience through the magical lens of hospitality.

**Learning Objectives:**

- Define employee experience and describe the employee experience cycle
- Apply the Disney Guest Experience Cycle to create a hospitable org culture
- Identify ways you can reimagine your workplace and “plus” your EX
- Explain how language shapes culture and affects employee experience
- Create SMART goals to apply the principles of hospitality in your workplace

**Leadership Competencies:**

- Participative management
- Improving employee performance

**Prerequisite:** None

**Learning Level:** Entry Level

**Field of Study:** Personal / Professional Development, and Social Environment of Business

**TRACK O-1 - MONDAY, MARCH 17, 2025**  
**TECHNICAL WRITING SKILLS FOR INTERNAL AUDITORS**  
**INSTRUCTOR: MARY BRESLIN**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

A poorly written audit report can result in valuable work getting ignored or dismissed by those receiving the report. Worse, a poorly written report can create misunderstandings that lead to conflict. Audit reports that are clear and concise and that effectively deliver the message have been a challenge for most audit departments. While most people learn standard writing techniques in school, writing for business executives requires a different and specific skill set. Delivering the message succinctly, directly, and clearly while maintaining a positive and persuasive tone requires a strong command of business writing techniques. This course will provide individuals with the writing skills they need to deliver audit reports that are positively received, clearly understood and evoke change.

**Learning Objectives:**

- Defining objectives that speak to executives
- Maintaining a positive tone throughout the report, regardless of the findings
- Getting to the point quickly, clearly, and persuasively
- Crafting recommendations that clearly link to the objectives and findings and are easily understood
- Using spelling, grammar, and punctuation correctly

The Basics: The Rules of Writing:

- The Gunning Fox Index
- Ten rules of effective report writing
- Sentence structure and sentence type
- Phrases and subordinate clauses
- Grammar basics
  - Spelling
  - Capital letters
- Grammar basics (continued)
  - Underlining and italics
  - Abbreviations
  - The hyphen
- Punctuation
  - Colon, semicolon, apostrophe, quotation marks, and that darn comma

Paragraphs:

- Paragraph structure
- Topic sentences
- Linking paragraphs—creating a story

Active and Passive Voice:

- Speaking vs. writing
- Using the active voice in writing
- When to use the passive voice



**TRACK O-1 - MONDAY, MARCH 17, 2025**  
**TECHNICAL WRITING SKILLS FOR INTERNAL AUDITORS**  
**INSTRUCTOR: MARY BRESLIN**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**CONTINUED**

Using Visual Aids:

- Report format
- Headers, titles, and cover pages
- Using numbers (percentages, ratios, and statistics)—when and why
- When and where to use charts, graphs, and pictures

Writing: The Body of the Report:

- Preparing for the report
- The 5 Cs: condition, criteria, consequence, cause, and corrective action
- Key points
- Clarity and style
  - Saying it concisely
  - Saying it clearly
- Clarity and style (continued)
  - Being persuasive
  - Emphasis and clear meaning
  - Mood and voice
  - Using definitions

Conclusions and Summaries:

- Bottom line for the executives
- Wrapping it up

**Prerequisite:** Auditors with at least 2 years' experience in order to draw upon their professional audit experience.

**Learning Level:** Intermediate

**Field of Study:** Audit

**TRACK P-1 - MONDAY, MARCH 17, 2025 (1/2 DAY A.M.)**

**DRIVING CHANGE:  
HOW TO ACCELERATE CHANGE WITHOUT RUNNING OTHERS OVER**

**INSTRUCTOR: DON LEVONIUS**

**CLASS FORMAT: VIRTUAL**

**4 CPEs**

**8:30AM—12:30PM**

**Seminar Focus and Features:**

Change is both constant and pervasive. Whether you are responsible for influencing incremental change or leading radical transformational change, your success will be determined by your ability to convert resistance and skepticism into cooperation and commitment. This program examines why most change initiatives fail, introduces a systematic process for effectively driving change, and shows you how to develop your own personal change plan. Need to drive change without running others over? This program is for you!

**Learning Objectives:**

- Describe why most change initiatives fail
- Recognize causes of resistance and techniques for overcoming it
- List and explain the stages of on an effective change initiative
- Develop an action plan for driving change in your organization

**Leadership Competencies:**

- Demonstrating strategic perspective; planning and executing org changes
- Analyzing complex problems and identifying decisive solutions

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Business Management and Organization, Social Environment of Business

**TRACK Q-1 - TUESDAY, MARCH 18, 2025**  
**AUDIT STAFF BOOTCAMP**  
**INSTRUCTOR: DANNY GOLDBERG**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

New audit team leaders must supervise and produce audit work and continually balance a hectic schedule so that every project meets audit management expectations. This training seminar gives audit seniors insight into how to review a file, manage a project and drafting audit reports. Additionally, this course will cover the interpersonal skills to supervise the audit team and interactions with auditees, even audit management. Auditors should not get promoted without taking this training seminar. This timely, one-day training seminar is designed for the internal auditor moving up to a team leadership position and others who have to create lead small audit teams.

**Objectives:**

- Attendees will learn how to identify audit risk and appropriate audit planning tools and techniques
- Attendees will learn how to evaluate existing audit documentation
- Attendees will discover the best techniques for team management
- Attendees will discuss interpersonal and team-building skills
- Attendees will understand the audit report creation process

**Agenda:**

I. Introduction and Background

- a. What are the Roles and Responsibilities of an Audit Senior?
- b. The Evolution of Staff to Senior

II. The Risk Assessment Process

- a. Audit Senior Role
- b. Audit Preliminary Work
- c. Audit Preliminary Risk Assessment

III. Audit Interviews

- a. Interview Techniques
- b. Documenting an Interview

IV. Managing an Audit Engagement

- a. Appropriate Audit Planning
- b. Developing an Audit Workprogram
- c. Managing a Budget and Schedule
- d. Delineation of Duties
- e. Reviewing an Audit File

**TRACK Q-1 - TUESDAY, MARCH 18, 2025**  
**AUDIT STAFF BOOTCAMP**  
**INSTRUCTOR: DANNY GOLDBERG**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**CONTINUED**

V. Managing an Audit Team

- a. Personality Types/Styles
- b. Managing Minimal Age Differences
- c. Deadline Management

VI. Internal Audit Wrap-Up

- a. Audit Reporting
- b. Effective Exit Conferences
- c. Audit Follow-Up

VII. Managing Up

VIII. Interpersonal Skills

IX. Managing the Auditee

X. Creating/Reviewing Audit Findings and Reports

**Prerequisite:** None

**Learning Level:** Beginner

**Field of Study:** Auditing

**TRACK R-1 - TUESDAY, MARCH 18, 2025 (1/2 DAY A.M.)**

**MANAGING CONFLICT:  
HOW TO MOVE FROM OPPOSITION TO AGREEMENT**

**INSTRUCTOR: DON LEVONIUS**

**CLASS FORMAT: VIRTUAL**

**4 CPEs**

**8:30AM—12:30PM**

**Seminar Focus and Features:**

If an organization never experiences conflict, something is wrong. Conflict is inevitable, but it doesn't have to be counterproductive, and it should never be destructive. This program will examine the origins of interpersonal conflict, help you identify your conflict response and resolution styles, and introduce techniques to help you manage conflict more effectively. Want to move from opposition to agreement? This program will show you how!

**Learning Objectives:**

- Examine the origins of conflict
- Identify barriers that hinder resolution efforts
- Apply proven conflict resolution techniques
- Reduce resistance and build consensus

**Leadership Competencies:**

- Conflict resolution
- Building collaborative relationships

**Prerequisite:** None

**Learning Level:** Beginner

**Field of Study:** Business Management and Organization, Personal / Professional Development

**TRACK S-1 - WEDNESDAY, MARCH 19, 2025**  
**AUDIT MANAGER BOOTCAMP—INTERMEDIATE**  
**INSTRUCTOR: DANNY GOLDBERG**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

Becoming an Effective Audit Manager helps auditors transition to management and become active leaders for their audit teams. This training course gives Managers oversight on how to review a file, properly budget and forecast projects and actively manage and juggle numerous projects.

Auditors should not get promoted without taking this course. Moving from staff auditor to audit manager calls for expanding the skills set. Not only do managers have to be efficient audit team members but also effective communicators, teachers, and active listeners.

**Objectives:**

- Learn how to identify audit risk and appropriate audit planning tools and techniques
- Learn how to manage the audit process from planning to follow-up
- Discover the best interpersonal and teambuilding skills
- Discuss audit communication and budgeting and forecasting techniques
- Further understanding of the audit report creation process
- Learn more about public speaking and presentation best practices

**Agenda:**

I. Introduction and Background

- a. What are the Roles and Responsibilities of an Audit Manager
- b. The Evolution of Senior to Manager

II. The Risk Assessment Process

- a. Audit Manager Role
- b. Audit Preliminary Work
- c. Audit Preliminary Risk Assessment

III. Managing an Audit Engagement

- a. Appropriate Audit Planning
- b. Developing an Audit Workprogram
- c. Managing a Budget and Schedule
- d. Delineation of Duties
- e. Reviewing an Audit File

IV. Managing an Audit Team

- a. Personality Types/Styles
- b. Managing Minimal Age Differences
- c. Deadline Management

**TRACK S-1 - WEDNESDAY, MARCH 19, 2025**  
**AUDIT MANAGER BOOTCAMP—INTERMEDIATE**  
**INSTRUCTOR: DANNY GOLDBERG**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**CONTINUED**

V. Internal Audit Wrap-Up

- a. Audit Reporting
- b. Effective Exit Conferences
- c. Audit Follow-Up

VI. Managing Up

- a. Managing Expectations
- b. To Commit or Not to Commit

VII. Communication

- a. Interpersonal Skills
- b. Managing the Auditee

VIII. Time Management

IX. Public Speaking and Presentations

X. Creating/Reviewing Audit Findings and Reports

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing

**TRACK T-1 - THURSDAY, MARCH 20, 2025**  
**TRANSFORMATIVE AUDIT REPORTING**  
**INSTRUCTOR: NEIL FRIESER—GOLDSRD**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

This session is intended to provide attendees with opportunities to learn how to enhance their internal audit reporting processes and formats. You will embark on an audit reporting transformational journey while also picking up practical concepts to help enhance multiple aspects of internal audit reporting. The journey will be particularly helpful for internal audit practitioners who believe that a wholesale transformation is needed for their team, while those who have already made significant changes to their reporting formats and processes may benefit more from key takeaways.

Who Should Attend: Internal audit leaders and practitioners who are interested in transforming their audit report formats and/or related processes or further refining changes they have already made.  
(NASBA Field of Study: Auditing)

**Objectives:**

- Learning how to embark on a transformational audit reporting journey
- Obtaining practical guidance and insights about potential changes to audit reporting formats and related methodologies
- Streamline, enhance, and improve communication of audit results to key stakeholders, including management and Audit Committees.

**Agenda:**

1. The Case for transforming audit reporting.
2. Building consensus for change.
3. Deploying an agile audit reporting approach.
4. Audit Report Definitions.
5. Blocking and tackling audit observations.
6. Building a bridge from observations to overall report rating.
7. Putting the bows on reporting.
8. Formatting, communication, and post-report follow-up.
9. Special situations.

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing



**TRACK U-1 - THURSDAY, MARCH 20, 2025**  
**TRANSFORM YOUR PRODUCTIVITY AND TIME MANAGEMENT SKILLS**  
**INSTRUCTOR: BRET KOBEL**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

Control the chaos! Get organized, stay organized and become more productive by learning how to make the right choices, prioritize effectively and use technology to help. Most people spend more time fighting technology than taking advantage of it. This course helps participants improve their productivity and time management skills two ways – by teaching good decision-making skills for planning, prioritizing and executing work and showing ways to leverage the built-in time management and productivity tools in Microsoft Office. The activity filled course is taught through lecture, real-life examples, small group and personal exercises, videos and games.

**Who Should Attend:** Professional Staff & Management

**Prerequisite:** None

**Learning Level:** Basic

**Field of Study:** Personal Development

**TRACK V-1 - MONDAY, MARCH 24, 2025 (1/2 DAY A.M.)**  
**STRATEGIC PLANNING & GOAL SETTING:**  
**HOW TO MOVE YOUR ORGANIZATION IN THE RIGHT DIRECTION**  
**INSTRUCTOR: DON LEVONIUS**  
**CLASS FORMAT: VIRTUAL**  
**4 CPEs**  
**8:30AM—12:30PM**

**Seminar Focus and Features:**

Without strategies, goals, and plans leaders wonder and organizations wander. Successful leaders are intentional. They plan and execute with purpose. If you want to steer your organization in the right direction you must be conscious of its values, clear about its vision, and candid about its strengths, weaknesses, opportunities, and threats. Through organizational assessments, case studies, and other hands-on applications, this program will help you identify your organization's values and vision, analyze its current situation, develop strategic goals, and create an action plan for achieving those goals. Want to move your organization from where it is to where it wants to be? This program is for you!

**Learning Objectives:**

- Describe the importance of values and vision
- Conduct a SWOT Analysis and develop a TOWS Matrix
- Translate strategic goals into SMART objectives
- Implement an effective action plan and monitor progress

**Leadership Competencies:**

- Demonstrating strategic perspective and facilitating organizational change
- Analyzing complex problems and identifying decisive solutions

**Prerequisite:** None

**Learning Level:** Beginner

**Field of Study:** Business Management and Organization, Management Services

**TRACK W-1 - MONDAY, MARCH 24, 2025**  
**IMPLEMENTING EFFECTIVE ETHICS AND COMPLIANCE PROGRAM**  
**INSTRUCTOR: PAUL ZIKMUND**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

This seminar is designed to equip professionals with the knowledge and tools necessary to develop and implement a robust ethics and compliance program within their organizations. Attendees will explore the essential components of an effective program, understand the regulatory landscape, and learn strategies to foster an ethical culture that aligns with organizational values and legal requirements.

**Learning Objectives:**

- Understand the fundamental elements of an ethics and compliance program and their importance in organizational governance.
- Identify the key regulatory requirements and industry standards that impact compliance programs.
- Learn how to assess organizational risks and tailor compliance strategies to address specific challenges.
- Develop skills to create and implement effective policies, procedures, and training programs that promote ethical behavior.
- Explore methods to monitor, audit, and continuously improve the compliance program.

**Key Takeaways:**

- A comprehensive understanding of the structure and function of an ethics and compliance program.
- Practical tools and strategies for designing and implementing a program that meets regulatory standards and supports ethical decision-making.
- Insights into fostering a culture of integrity and accountability within the organization.
- Techniques for effective risk assessment and management to prevent compliance breaches.
- Knowledge of best practices for ongoing program evaluation and improvement.

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing & Risk Management

**TRACK X-1 - TUESDAY, MARCH 25, 2025**  
**ENTERPRISE RISK MANAGEMENT**  
**INSTRUCTOR: PAUL ZIKMUND**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

There are increasingly escalating demands on organizations to implement and strengthen their enterprise-wide risk management processes. Risk managers must now look to further strengthen their oversight processes to better identify, assess and manage risks across the enterprise.

Internal Audit's core role regarding ERM is to provide independent and objective assurance to the Board and Executive Leadership on the effectiveness of the ERM program to help ensure key business risks are managed effectively and appropriately in line with the organization's risk appetite. Core internal auditing roles regarding ERM:

- Giving assurance on risk management processes
- Giving assurance that risks are correctly evaluated
- Evaluating risk management processes
- Evaluating the reporting of key risks
- Reviewing the management of key risks

The workshop identifies the practices and benefits of implementing a dynamic enterprise-wide risk management program. Through interaction and hands-on exercises, participants learn practical approaches that they can immediately apply to their specific organization. The workshop starts with a definition of ERM and a discussion of the basic tenets of a sound ERM practice—organization, reporting, measuring, monitoring, and culture—much of which in some form is already in place. The workshop will address how auditors can leverage these existing practices to develop a robust approach program to determine the effectiveness of their organization's overall ERM program.

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing & Risk Management

**TRACK Y-1 - TUESDAY, MARCH 25, 2025**  
**THINKING BEYOND PATTERNS: FINDING MEANING IN YOUR DATA**  
**INSTRUCTOR: TOBY GROVES**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

New technologies and analytical methods detect myriad patterns in our data, but what do they really mean and what decisions can they help us make? Is the underlying data reliable? Is it valid for the purpose you are using it? Cognitive technologies distance us from the context of our data, shrouding the meaning behind the patterns and how to apply the information for effective decision-making. New thinking approaches are required to move beyond simple recognition of correlations to insight into why relationships exist. This session will teach methods that give us the ability to discern the inner character of problems, to recognize why interactions occur, and what decisions can be made with the information.

**Major Subjects:**

- Moving from “perceiving” to “meaning”
- How to develop situational awareness
- Why you should know the limitations of your data
- Data screening methods

**Learning Objectives:**

Attendees will learn thinking approaches that support deeper insight into the meaning behind information, allowing them to detect unusual patterns, deal with contradictory evidence and to develop skills that boost their understanding of meaning behind complex connections.

**Prerequisite:** None

**Learning Level:** Basic

**Field of Study:** Critical Thinking

**TRACK Z-1 - WEDNESDAY, MARCH 26, 2025**  
**CRISIS MANAGEMENT AND BUSINESS CONTINUITY:  
RUNNING AN EFFECTIVE PROGRAM**  
**INSTRUCTOR: PAUL ZIKMUND**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

The world is changing. And while we know that you're always ready to react to the changes in the market and to stay ahead of the curve, we also know that you want to be prepared for the unexpected—to be able to weather any storm.

Organizations that develop and maintain effective crisis and business continuity programs are better positioned to ensure business resiliency when faced with adversity. This session is designed to equip attendees with the tools and techniques to implement, audit and or assess an organization's business resiliency capabilities.

You'll learn how to:

- Accurately and efficiently identify critical elements of a crisis and business continuity program
- Evaluate an organization's level of preparedness to manage a crisis
- Assess internal audit's role in business continuity planning, crisis management, and operational risk management
- Identify best practices and techniques for execution and delivery of mature business resiliency programs
- Practice crisis management skills
- Develop muscle memory to prepare yourself if faced with a crisis

The session is supported through exercises and case studies related to real-life and simulated crisis events.

**Prerequisite:** None

**Learning Level:** Intermediate

**Field of Study:** Auditing & Risk Management

## TRACK AA-1 - WEDNESDAY, MARCH 26, 2025 – THURSDAY, MARCH 27, 2025

### CYBER SECURITY AUDITS OF CLOUD-NATIVE APPLICATIONS

**INSTRUCTOR: KEN CUTLER**

**CLASS FORMAT: VIRTUAL**

**16 CPEs**

#### **Seminar Focus and Features:**

Cloud-native technologies empower organizations to build and run scalable applications in modern, dynamic cloud environments – internal and external. They are intended to meet the deliver the application management objectives of continuous integration (CI) and continuous delivery (CD) - which by its nature involves rapid development can result in expediency at the potential expense of reduced security and quality. In this information-packed workshop, we will cover key building blocks and significant risks, and systematically sort through the available CyberSecurity control points, safeguards and audit procedures for today's cloud-native applications.

#### **Learning Objectives:**

- Identify and assess CyberSecurity control points and software building blocks in a cloud-native application architecture
- Understand the risks and causes associated with different types of CyberAttacks on cloud-native and other web-oriented applications
- Evaluate different methods of CyberSecurity testing of web applications throughout the cloud-native related Development Life Cycles (SDLCs)
- Gain familiarity with industry best practices for secure cloud-native application design, testing, and operation

#### Cloud-Native Application Audit Planning

- CyberSecurity risks to business applications
- Distributed computing models—legacy and beyond compared
- Defining the cloud-native landscape
- Developing a cloud-native application audit game plan

#### Identifying and Auditing the Modern Cloud-Native Application Environment

- Cloud computing
- Containers and orchestration
- Microservices
- Application programming interfaces (APIs)
- Service meshes
- Message queuing (MQ)
- Serverless computing
- Documenting and analyzing distributed web applications

#### Auditing Secure Design and Testing of Cloud Native Applications

- Cloud-native application software development lifecycles (Agile, DevOps, DevSecOps)
- Common application software risks, attacks, and countermeasures
- Open Source Software (OSS) risks and controls
- Content Management Systems (CMS) and Shadow IT risks and controls
- CyberSecurity in software design and testing throughout the SDLC , including operations and on-going support

#### Summary Wrap-up

- Cloud-native application audit checklist
- Sources of information, checklists, and tools

**Prerequisite:** Auditing IT Application Systems (AA02) or equivalent training. A basic understanding of IT audit controls, application controls, and terminology is assumed.

**Learning Level:** Intermediate

**Field of Study:** Cyber Security

**TRACK AB-1 - THURSDAY, MARCH 27, 2025**  
**ESSENTIAL INTERVIEW SKILLS FOR AUDITORS**  
**INSTRUCTOR: MARY BRESLIN**  
**CLASS FORMAT: VIRTUAL**  
**8 CPEs**

**Seminar Focus and Features:**

Auditors obtain their most useful information from talking to people. Effective communication skills are required to be a successful auditor. And having solid interviewing skills will make the auditor more effective and conversations with the client less stressful. Interviewing is part science and part art. This course will help auditors develop the interviewing skills needed to establish rapport and gather facts. Participants will learn how different communication styles impact the way messages are presented and received. It will teach participants how to effectively manage various communication styles and situations to ensure a positive experience for everyone. This interactive course will use lecture, examples, real-life case studies, and group exercises to help auditors develop interviewing and communication skills that can be immediately implemented in interviewing situations and meetings. This course utilizes the DiSC Assessment to evaluate participants' communications styles.

**Who Should Attend:** Internal Audit Staff and Management

**Prerequisite:** None

**Learning Level:** Basic

**Field of Study:** Auditing



## ABOUT THE INSTRUCTORS....

**Mary Breslin CIA, CFE** specializes in Internal Audit transformations, Operational and Financial Auditing, Fraud Auditing & Investigations, and Corporate Accounting.

Her career spans over 20 years in Internal Auditing, Management and Accounting for companies such as ConocoPhillips, Barclays Capital, Costco Wholesale, Jefferson Wells and Boart Longyear. With significant International experience, she has managed audit programs in more than 50 countries. Most recently, Ms. Breslin held the titles of Vice President and Chief Audit Executive where she transformed a checklist audit functions into a value add audit departments which regularly delivered measurable business results through the use of risk based auditing, data analytics, continuous education and skill development for her leadership team and staff. Through her senior audit leadership roles, Ms. Breslin has implemented numerous baseline internal audit functions and year-one Sarbanes-Oxley programs, both domestically and abroad.

Additionally Ms. Breslin has extensive Fraud Audit and Investigation experience and has conducted major fraud investigations on multiple continents including large scale federal cases domestically. She has developed and implemented fraud auditing programs in various industries focused on both accounting and operations.

Ms. Breslin attended Rutgers University and received her BS in accounting, and an MBA from the University of Phoenix while living and working overseas. She is a Certified Internal Auditor (CIA), and Certified Fraud Examiner (CFE). She maintains memberships in the IIA, and the Association of Certified Fraud Examiners and is currently a conference speaker for the IIA.

**Ken Cutler, CISA, CISSP, CISM** is Director CyberAudit and Cybersecurity. He is a Senior Teaching Fellow with CPEi, specializing in Technical Audits of IT Security and related IT controls. He is the President and Principal Consultant for Ken Cutler & Associates (KCA) InfoSec Assurance, an independent consulting firm delivering a wide array of Information Security and IT Audit management and technical professional services. He is also Director of Q/ISP (Qualified Information Security Professional) programs for Security University.

An internationally recognized consultant and trainer in the Information Security and IT audit fields, he is certified and has conducted courses for: Certified Information Systems Security Professional (CISSP), Certified Information Security Manager (CISM), Certified Information Systems Auditor (CISA) and CompTIA Security+. In cooperation with Security University, he recently was featured in two full length training videos on CISSP and Security+.

Ken was formerly Vice-President of Information Security for MIS Training Institute (MISTI), Chief Information Officer of Moore McCormack Resources, a Fortune 500 company. He also directed company-wide IS programs for American Express Travel Related Services, Martin Marietta Data Systems, and Midlantic Banks, Inc.

Ken has been a long-time active participant in international government and industry security standards initiatives, including: The President's Commission on Critical Infrastructure Protection, Generally Accepted System Security Principles (GSSP), Information Technology Security Evaluation Criteria (ITSEC), US Federal Criteria, and Department of Defense (DOD) Information Assurance Certification Initiative.

He is a prolific author on information security topics. His publications include: *Commercial International Security Requirements (CISR)*, a commercial alternative to military security standards for system security design criteria, NIST SP 800-41, "*Guidelines on Firewalls and Firewall Policy*", of which he was co-author, and Various works on security architecture, disaster recovery planning, wireless security, vulnerability testing, firewalls, single sign-on, and the Payment Card Industry Data Security Standard (PCI DSS).

He has been frequently quoted in popular trade publications, including *Computerworld*, *Information Security Magazine*, *Infoworld*, *InformationWeek*, *CIO Bulletin*, and *Healthcare Information Security Newsletter*, and has been interviewed in radio programs *My Technology Lawyer* and *Talk America*.

Ken received Bachelor of Science degree in Business Administration and Computer Science degree from SUNY Empire State College.

## ABOUT THE INSTRUCTORS....

**Neil Frieser**, Neil has over 30 years of experience in internal/external audit, corporate governance, and financial leadership. He spent 15 years as the Chief Audit Executive for Frontier Communications Corporation (FTR), which is a Fortune 500 public company that offers broadband, voice, video, wireless Internet data access, data security solutions, specialized bundles for residential customers, small businesses and home offices, and advanced communications for medium and large businesses in 25 states.

Previously he was Vice President, Internal Audit for Warner Music and was responsible for managing Viacom's global Sarbanes Oxley compliance function. Earlier in his career, he was Chief Financial Officer for Simon & Schuster's medical publishing operations and was an audit and transaction support manager with PricewaterhouseCoopers where he assisted clients on dozens of transactions.

Neil has presented at numerous national and local conferences and has authored multiple articles on corporate governance and compliance. He co-chaired an annual conference focused on cybersecurity collaboration between the government and the private sector from 2016 to 2019. He previously served as Vice Chairman of the North American Board of the Institute of Internal Auditors (IIA) and as a member of the Global Board of the IIA, President of the Westchester/Fairfield IIA chapter and in regional IIA leadership roles. He was a Board member of The Boys & Girls Club of Stamford from 2014 to 2022 and served as Board President from 2017-2019.

**Danny M. Goldberg** is the Founder of GoldSRD ([www.GoldSRD.com](http://www.GoldSRD.com)), a leading provider of Staff Augmentation, Executive Recruiting and Professional Development services. Danny is a well-known speaker on internal auditing and People-Centric Skills, co-authoring *People-Centric Skills: Communication and Interpersonal Skills for Internal Auditors*, via Wiley Publications. *People-Centric Skills, 2<sup>nd</sup> Edition*, the follow-up to this critically acclaimed book, is currently available via Amazon and through GoldSRD at a discounted rate and has sold over 5,000 copies.

Danny has over 26 years of professional experience, including five years leading/building internal audit functions. Danny was named as one of the Fort Worth Business Press 40 Under 40 for 2014.

He is a thought-leader in the profession, recognized through his numerous articles in trade magazines and consistent rating as a top speaker in the industry. Mr. Goldberg is also accredited as the Professional Commentator of the *Bureau of National Affairs - Internal Audit: Fundamental Principles and Best Practices* (Professional Commentator). This book was authored by renowned audit scholars Curtis C. Verschoor and Mort A. Dittenhofer – co-author of Sawyer's Internal Auditing.

Mr. Goldberg is an active member of the Institute for Internal Auditors, both at a local and national level. Leadership roles include:

- Current IIA Dallas Advisory Board Member
- Former IIA Dallas Chapter Board Member & Programs Committee Co-Chair (2016-2021)
- Former IIA FtW Chapter Board Member & Programs Committee Co-Chair (2012-2016)

Danny is a Certified Public Accountant (CPA), Certified Internal Auditor (CIA), Certified Information Systems Auditor (CISA), Certified in the Governance of Enterprise Information Technology (CGEIT), Certified in Risk and Information Systems Control (CRISC), Certified in Risk Management Assurance (CRMA), has obtained his Certification in Control Self-Assessment (CCSA) and is a Chartered Global Management Accountant (CGMA).

**Dr. Toby Groves** is a research psychologist and speaker specializing in advanced cognitive strategies that foster breakthrough thinking and uncover new perspectives. His work combines insights from neuroscience with practical applications to help professionals in high-stakes fields such as auditing, intelligence, law, and medicine improve decision-making quality. With a PhD in psychology and an MA in industrial-organizational psychology, along with training in forensic psychology and forensic accounting, Dr. Groves collaborates directly with experts at the forefront of critical societal decisions, creating innovative approaches to help them solve complex problems and discover unseen solutions.

## ABOUT THE INSTRUCTORS....

**Warren Hersch** is Director of Quality Services for The IIA. He was previously Comptroller for the Central Florida Regional Transportation Authority (LYNX), Auditor General and interim CFO for New Jersey Transit, and Internal Audit Director at Jefferson Wells (now Experis) and BDO Seidman. With 40+ years of experience in internal auditing, risk management, fraud prevention/detection, project management, business process analysis/improvement, corporate governance, and IT, he has conducted external quality assessment reviews in many industries. Warren served on The IIA's International Internal Audit Standards Board for 11 years and the International Public Sector Committee for three years. He was previously the President of IIA -Philadelphia and is currently on the Chapter Audit Committee.

**Erica Honick** is a seasoned Learning and Development Leader, Executive Coach, and Facilitator with over a decade of experience in internal audit at large financial institutions, including both traditional audit and professional practices roles. Holding an MBA and a Master's in Evidence-Based Coaching, Erica specializes in developing impactful training strategies and facilitating programs that enhance leadership capabilities, team effectiveness, and organizational success.

Erica has a proven track record in designing dynamic training frameworks, with expertise in Emotional Intelligence (EQ), DISC and Hogan assessments. She creates customized learning experiences that foster growth, improve interpersonal dynamics, and develop emotionally intelligent leadership styles.

As an expert facilitator, Erica leads engaging workshops and training sessions, ensuring active participation and lasting results. In addition to her facilitation work, Erica provides executive coaching to senior leaders and first-time managers, empowering them to navigate challenges, elevate performance, and drive success. Her unique blend of experience, advanced education, and practical insights makes her a sought-after speaker and coach at conferences and workshops.

**Bret Kobel** specializes in Operational and Financial Auditing, Fraud Auditing & Investigations, and Corporate Accounting and Corporate Governance.

He has over 30 years of extensive international experience in finance, accounting, audit, risk, and compliance. He has several leadership roles in a global mining company where he implemented foundational internal controls frameworks and the initial Enterprise Risk Management (ERM) program. In addition to his internal audit experience, Mr. Kobel has been part of numerous fraud investigations and has provided expert testimony.

Bret holds a BS degree from Indiana University and an MBA from The University of Texas at Austin.

**Keith Levick**, PhD, or "Dr. Keith," as many of his clients refer to him, holds a Master of Social Work and Doctorate in counseling from Detroit's Wayne State University and has worked within or together with large, medium, and small companies and corporations for thirty years.

Dr. Keith is the CEO of Goren and Associates, a professional development, executive coaching, and consulting firm in Farmington Hills, Michigan. He has spent many clinical years as a psychologist in private practice. In 1991, he transitioned his clinical expertise into organizational psychology and business. Over the years, he has demonstrated his versatility and adaptability by working with various organizations, including General Motors, Mercedes Benz, Schoolcraft College, Burns & Wilcox, MGM Grand Detroit, and several hospitals throughout the US.

He has written and lectured extensively in psychology and business. His third book, *The Insightful Leader: Discovering Your Blind Spots From Eyes of Employees*, is scheduled for release in the Spring of 2025. Dr. Keith is an adjunct professor at Wayne State University and Lawrence Technological University and has authored numerous professional articles. He lectures on various business-related issues around the country as an invited speaker.

Dr. Keith was a regular guest for fifteen years on a local ABC-TV talk show, *Kelly & Company*. He has also served as a local expert for NBC and ABC television and radio.

**Don Levonius, M.A, BCCLC** believes good people can change the world, and he's passionate about helping them do so. As a certified coach, facilitator, and virtual presenter, Don draws on more than 20 years of leadership experience – including 13 years with Disney and 4 years with The Institute of Internal Auditors global headquarters. He is currently the founder and principal consultant of Victory Performance Consulting. Don's ability to connect with people on site and online, and his passion for helping

## ABOUT THE INSTRUCTORS....

### **Don Levonius, M.A, BCCLC—Continued**

others grow, has helped tens of thousands of professionals worldwide master the life-changing skills they need to live and lead well. Don holds master's degrees in human resource development and business & organizational security management, as well as undergraduate degrees in management and business administration. He is a certified life, leadership, and health & wellness coach and facilitator, having earned certifications from Maxwell Leadership, the American Association of Christian Counselors, the Board of Christian Life Coaching, and American Fitness Professionals & Associates.

**Dr. Hernan Murdock, CIA, CRMA** is an accomplished trainer, author, and internal auditor with over 25 years of experience creating and delivering training content to learners with different skill levels. He is adept at technical and soft skills training, and has experience teaching audiences around the world in English and Spanish. He was the VP - Audit Content at the MIS Training Institute (now ACI Learning) where he oversaw the governance, risk, compliance, management and audit leadership curriculum. Prior to that, Dr. Murdock held posts at Arthur Andersen, Control Solutions International, Liberty Mutual, and KeyCorp.

He is the author of *The Change Agent*, *Operational Auditing*, *Auditor Essentials*, *10 Key Techniques to Improve Team Productivity*, and *Using Surveys in Internal auditing*. He has also written articles and book chapters on internal auditing, whistleblowing programs, mentoring programs, fraud, deception, corporate social responsibility, and behavioral profiling.

As an internal auditor and consultant, he has helped clients in manufacturing, transportation, pharma, higher education, insurance, high tech, and power generation industries achieve their objectives through improved operational efficiency and effectiveness.

**Tali Ploetz** is an industry recognized expert in Governance, Risk, Internal Audit, Fraud and Compliance. She provides practical training and advice designed to strengthen team member skillsets and improve corporate internal control environments to ultimately prevent issues / internal control breakdowns by delivering coursework covering:

- Leadership & Executive Presence
- Executive Communication and Political Savvy
- How Regulatory Compliance Impacts Internal Controls and Expense Initiatives
- Negotiation with Examiners and Auditors
- Finding Breakdowns in Processes & Calculating the Impact on Internal Controls

GoldSRD clients will benefit from her executive perspective, which provides a strong foundation geared specifically toward moving internal audit, risk, and compliance team members to the next level.

- Experienced financial services executive leader
- Director for multiple for and non-profit Boards of Directors
- Experienced negotiator with federal examiners
- Recognized IIA All-Star Conference speaker
- Keynote speaker / master of ceremonies (emcee)

Ms. Ploetz has more than 29 years of financial services industry experience. Most notably:

- Chief Compliance Officer for Think Finance
- SVP Enterprise Risk Management at Santander Consumer USA
- VP of Operations Audit, General Motors Financial Company
- VP of Internal Audit for Bank One, NA

## ABOUT THE INSTRUCTORS....

### **Tali Ploetz—Continued**

Ms. Ploetz maintains multiple certifications: Certified Public Accountant, Certified Internal Auditor, Certified Fraud Examiner, Certified Regulatory Compliance Manager and Certified Treasury Professional. She's a graduate from University of Texas at Arlington with dual degrees in Accounting and Marketing.

**Sajay Rai** has more than 30 years of experience in information technology, specializing in information security, privacy, network architecture, business continuity, disaster recovery, IT audit and information risk. He is a member of IIA's Professional Issues Committee. He serves on the board of ISACA Detroit Chapter, IIA's Detroit Chapter, Society of Information Management Detroit Chapter and as a member of Walsh College's Accounting Advisory and Technology Committees.

**John G. Tannahill, CISM, CRISC** is an independent Information Security and Audit Services Consultant. His current consulting work areas are focused on information security in large information systems environments and networks, requiring detailed knowledge of the major operating systems encountered. Particular areas of technical security expertise include:

- Cybersecurity Assessment
- Active Directory & Windows Server Security
- Linux Server Security
- Database Security
- Network Security

John is a frequent speaker in Canada; USA, Europe, Africa and Asia on the subject of Information Security.

**Jim Vogt**, located in San Diego, is presently a Lecturer with San Diego State University and the University of Colorado Denver, teaching various graduate and undergraduate courses in fraud examination and accounting. He is also a John C. Maxwell Certified Leadership Coach and Trainer, as well as CEO/ Founder of the Fraud Protection Institute, advising businesses, management, and employees regarding the risks of fraud and strategies for risk assessment, prevention, and detection.

Jim earned undergraduate degrees from Regis University in Business Administration and Psychology. In 2007, he completed his MS in Economic Crime Management from Utica College of Syracuse University. In 2008, he earned his credentials as a Certified Fraud Examiner (CFE), and began teaching with the University of Colorado Denver.

Prior to this, Jim had a long career in financial services, primarily in operations and treasury management. As a payment systems expert, he became very interested in fraud. He is passionate about teaching and the subject matter and loves making a difference in the lives of his students. Jim is originally from Denver, Colorado.

**Paul E. Zikmund, CFE, CBCP, CRMA, CAMS, MBA, MAcc, MBEC, CECM** serves as Berkadia's Chief Resiliency Officer. He is responsible for managing Berkadia's business resiliency programs including enterprise risk management, compliance, internal audit, data privacy, physical security, fraud risk management, ESG, and information security. Prior to his role at Berkadia, Paul served as a Director of Baker Tilly's Global Fraud and Forensic Investigations, Compliance and Security Services practice where he was responsible for helping clients develop, assess and administer ethics and compliance programs, conduct global and cross-border fraud and misconduct investigations, designing and implement risk and security management programs. Prior to that, Paul served as Deputy CCO & Vice President Global Security at Bunge in White Plains, NY where he was responsible for development and implementation of Bunge's fraud, ethics, compliance and security risk management programs and controls designed to protect company assets, mitigate fraud and misconduct, ensure compliance with federal and state laws, protect company assets, and promote adherence to Bunge's core values.

Paul managed and conducted investigations of compliance matters, fraud and ethics violations. Paul assisted with the development and implementation of tools and techniques to mitigate enterprise security, fraud & compliance risk, manages the company's third party risk management program, and administers security, compliance training and awareness programs. Prior to joining Bunge, Paul worked as the Senior Director Forensic Audit at Tyco International in Princeton, NJ and the Director Litigation Support Services at Amper, Politziner, & Mattia, LLP, in Philadelphia, PA where he was responsible for developing, implementing, and administering fraud risk management services to Tyco and to clients. He possesses nearly 35 years of experience in this field and has effectively managed global compliance and forensic audit teams at various Fortune 500 companies.

## **REGISTRATION INFORMATION**

Space is limited so registration will be accepted on a first-come, first-serve basis. Pricing has been established to provide the maximum educational benefit for the lowest cost. Therefore, we do not offer discounts from the established prices for early registration, membership affiliation or groups.

Due to circumstances outside of our control, we may find it necessary to reschedule or cancel sessions, or change instructors. We will give registrants advance notice of such changes, if possible.

## **PAYMENT AND CANCELLATION POLICY**

Please note all times are stated in Eastern Daylight Time (EDT). All reservations must be made online at: <https://na.eventscloud.com/2025annualspringconference> and all payments must be received by midnight February 18, 2025. Payments may be made at the time of registration using Visa, MasterCard, Discover or American Express, or check payments may be mailed to the address listed below.

Cancellations may be made online until midnight on Tuesday, February 18, 2025 without penalty. Any cancellation received after Tuesday, February 18, 2025, and before Tuesday midnight February 25, 2025 will be charged a non-refundable service fee based on the CPEs of the registered course being cancelled. No refunds will be given for registrations that are cancelled after midnight February 25, 2025.

CPEs	Non-Refundable Service Fee
4	\$25
8	\$50
16	\$75

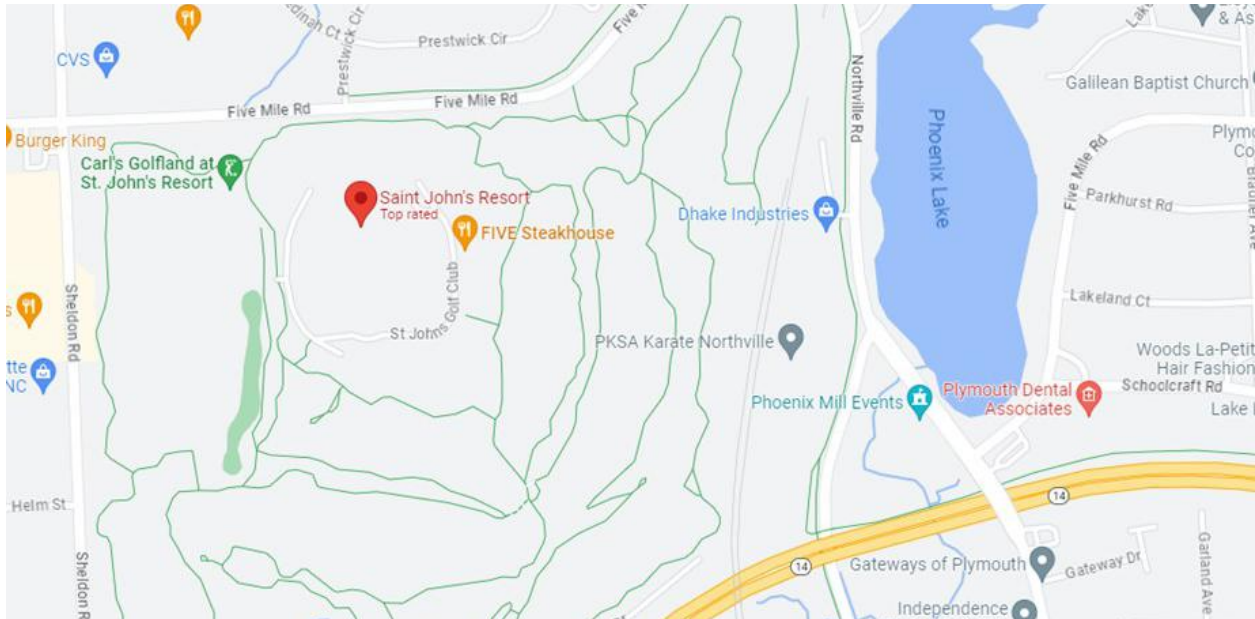
Payments (payable to: **IIA Detroit**) should be mailed to the address below. Please do not remit payment to the ISACA Detroit Chapter. Training or registration questions should be sent to [chapter2@iiachaptercommunications.org](mailto:chapter2@iiachaptercommunications.org).

**IIA - ISACA Spring Training  
Administrator  
P.O. Box 99340  
Troy, MI 48099**

# 2025 Spring Conference Location

## Saint John's Resort

44045 Five Mile Road  
Plymouth, MI 48170



### From the West

Take M-14 East to Sheldon Rd (exit #20), follow signs for Sheldon Rd. Turn right onto N Sheldon Rd toward Northville Downs/Northville. Turn right onto 5 Mile Rd for 0.2 miles, turn right onto St Johns Golf Club.

### From the East

Take M-14 West to Sheldon Rd (exit #20), follow signs for Sheldon Rd. Turn right onto N Sheldon Rd toward Northville Downs/Northville. Turn right onto 5 Mile Rd for 0.2 miles, turn right onto St Johns Golf Club.

### Hotel Reservations

You can make reservations for the event directly with the Saint John's Resort online by clicking here: [IIA / ISACA Spring Conference Direct Booking Link](#).

Reservations by attendees must be received on or before Monday, February 10, 2025.