### **Ethics & Opportunities**

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Co-Founder and Head of Strategic Initiatives BrightHive

Co-Ideator Community-driven Principles for Ethical Data Practices (CPEDS)

Former Senior Policy Advisor to U.S. Chief Technology Officer Obama Administration

#### Who am I?

I believe that through our **collective** power, data can support transforming the human experience.

| Accessing Data                    | Using Data  | <b>Governing Data</b>  |
|-----------------------------------|---|--|
| Co-Founder and COO,<br>BrightHive | Co-Ideator,<br>Global Data Ethics Project<br>(GDEP) | Former Senior Policy Advisor to<br>U.S. Chief Technology Officer,<br>Obama Administration  |
|                                   | Community Principles on<br>Ethical Data Practices   | The Solution of the solution o |

#### **Challenges to data-driven social impact**



#### **Cultural Barriers**

Policies Limiting Data Interoperability

Lack of Talent Pipeline

Lack of Dedicated Funding

Limited Pathways for Sustainment

#### **Balancing individual rights with social impact**

Building trust requires:

Empowering **people** to speak on data use, establishing **processes** to clarify practices, and leveraging **technology** to hold us accountable.

*"We must define the common good and begin again to shape a common future."* 

Rep. Barbara Charline Jordan (TX) 1976 Democratic National Convention Keynote Address

#### **Public-private collaboration is critical**

Developing the ecosystems for data-driven social impact

Investing in infrastructure that uses data to support missions Driving Impact: Ecosystems

Defining Value: People (skills, culture)

Accessing Data: Technology (infrastructure, solutions) Building **trust** with communities (citizens, technology, academia)

# Current data infrastructure for identifying, improving, and investing in impact



### Future infrastructure must be open and collaborative

Provide vendor-neutral platform for secure data access and integration Provide intuitive views to outcomes and support existing BI tools

Social Service Data

Data-Driven Action

Provide standard outcomes, KPIs, and advanced analytics

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#### **Global Data Ethics Project**

By data scientists, for data scientists

Increases responsiveness to the needs and concerns of data scientists.

Better captures the diverse spectrum of interests across the data science community.

May facilitate adoption of the code of ethics.

\*\*Formerly Community-driven Principles for Ethical Data Sharing (CPEDS)



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#### As a community, what's important is...

- Informed and purposeful consent
- Protect anonymous data subjects
- Foster diversity
- Clearly established provenance
- **Communicate** responsibly and accessibly

- Guarantee the **security** of data, subjects, and algorithms
- **Transparency** as the default
- Acknowledge and mitigate unfair **bias**
- Foster diversity
- **Respect** relevant tensions

#### Exercise our ethical imagination!

https://www.datafordemocracy.org/project/global-data-ethics-project

#### **Ethical culture**

**Self sovereignty and informed consent:** Empowers individuals to control their own data and determine its uses.

**Cooperation:** Promotes collaboration between people and institutions.

**Transparency & Openness**: The origins and ownership are clear and workings are intelligible to non experts; information defaults to being open and free.

**Decentralization**: Ownership, production, and control are distributed and driven by a community; default to open source.

#### **Ethical culture**

**Flexibility**: Easy for users to modify, adapt, improve, or inspect its core; Individuals and institutions may freely choose to use it or give it up.

**Redundancy:** More than one solution to every data and technology problem. No monopolies or "one platform to rule them all."

**Efficiency:** Minimizes new resource requirements and personnel costs to realize impacts.

### **Ethical Design Checklist**

- Have we listed how this technology can be attacked or abused?
- Have we tested our training data to ensure that it is fair and representative?
- Have we studied and understood possible sources of bias in our data?
- Does our team reflect diversity of opinions, backgrounds, and kinds of thought?
- What kind of user consent do we need to collect and use the data?
- Do we have a mechanism for gathering consent from users?
- Have we explained clearly what users are consenting to?
- Do we have a mechanism for redress, if people are harmed by the results?
- Can we shut this software down in production if it is behaving badly?
- Have we tested for fairness with respect to different user groups?
- Have we tested for disparate error rates among different user groups?
- Do we test and monitor for model drift to ensure our software remains fair over time?
- Do we have a plan to protect and secure user data?

#### **Data sharing governance**

Checklists are great for increasing intentionality of building trust around data use.

But the most impact occurs when you bring these principles together through building your **data sharing governance process**—the process of controlling the way your data is protected, administered, used, and shared.

## Traditional data sharing governance is often limited and under-resourced



Addresses immediate needs using limited bilateral agreements without a plan for sustainability. Often sustained by a single champion, but can fail due to changes in leadership or isolated engagement. Imposed on organizations which lack the capacity to participate on equal footing. Lawyers engage to protect interests and eliminate liability at the expense of value to stakeholders.

## Data sharing governance should be equitable, sustainable



Addresses immediate needs while supporting the potential for long-term growth. Supports shared decision-making for trust-managed data resources and organizational data ownership. Levels the playing field for all data sharing members, regardless of size, capacity, or incentives to participate. Eliminates liability while creating value for communities and individuals who should benefit most from the data sharing.

#### Data Sharing Governance must be collaborative

To ensure **transparency**, build **trust**, improve **understanding**, and promote a **culture** of collaboration



To detail technical & data specifications, **legal & ethical permissions and use**, designate trustee(s), and establish a governance board





To ensure agreed upon changes are **managed through amendments**, rather than re-negotiation

## Technical infrastructure is modular, flexible, and secure

- Built to the data and technical specifications articulated in the Data Trust
   Member Agreement (<u>DTMA</u>)
  - Uses open source and open standards
- Flexible, **modular stack** integrates with members' existing data systems
  - Meets each Data Trust Member's data security and privacy thresholds

BrightHive DTMA can be found on GitHub

# Governance board monitors and sustains the data trust

Consists of data trust members who collectively manage, monitor, and sustain the data trust over time



Ensures **data** and **technical** specifications, data access and use permissions, use of pooled resources are consistent with the **legal** agreement



Identifies and agrees upon data trust **expansions**, including new **use cases**, new members, new **pooled resources**, and third party users



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### Driving impact requires collective energy

Define shared needs assessment & use case discovery



collaboratively develop data standards & interoperable tools

Provide technical assistance and change management services

#### **Scalable Impact must have Shared Vision**

"We are a people in a quandary about the present. We are a people in search of our future. **We are a people in search of a national community.** 

We are a people trying not only to **solve the problems of the present**, unemployment, inflation, but we are attempting on a larger scale to fulfill the promise of America.

We are attempting to fulfill our national purpose, to create and sustain a society in which all of us are equal."

> Rep. Barbara Charline Jordan (TX) 1976 Democratic National Convention Keynote Address

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*"If you want to go quickly ... go alone. If you want to go far ... go together."* African Proverb