



Introduction on WCO AEO Validator Training Modules

CHEN Jianping

AEO Programme, General Administration of China Customs





中國海關
CHINA CUSTOMS

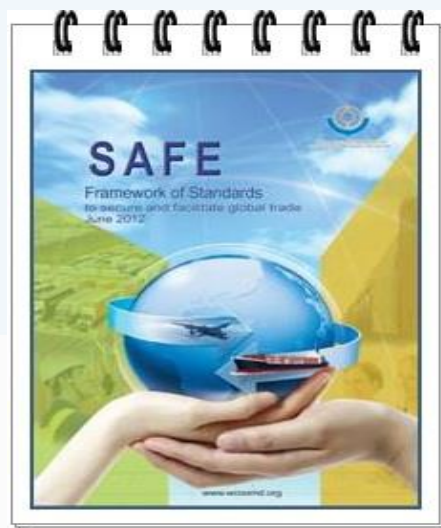
1 General Introduction

Vital role of AEO validator training



中國海關
CHINA CUSTOMS

- › Validation is the core and key element for the whole implementation of the AEO system.
- › WCO members across the world pay high attention to validator trainings.
- › the SWG endorsed the AEO Validator Guide.



China's Practices



中國海關
CHINA CUSTOMS

- › Attach great importance to validator training
- › A strict certification mechanism
- › Issued over 2000 AEO validator certifications to customs officials





中國海關
CHINA CUSTOMS

2 AEO Validator Training Modules

Background



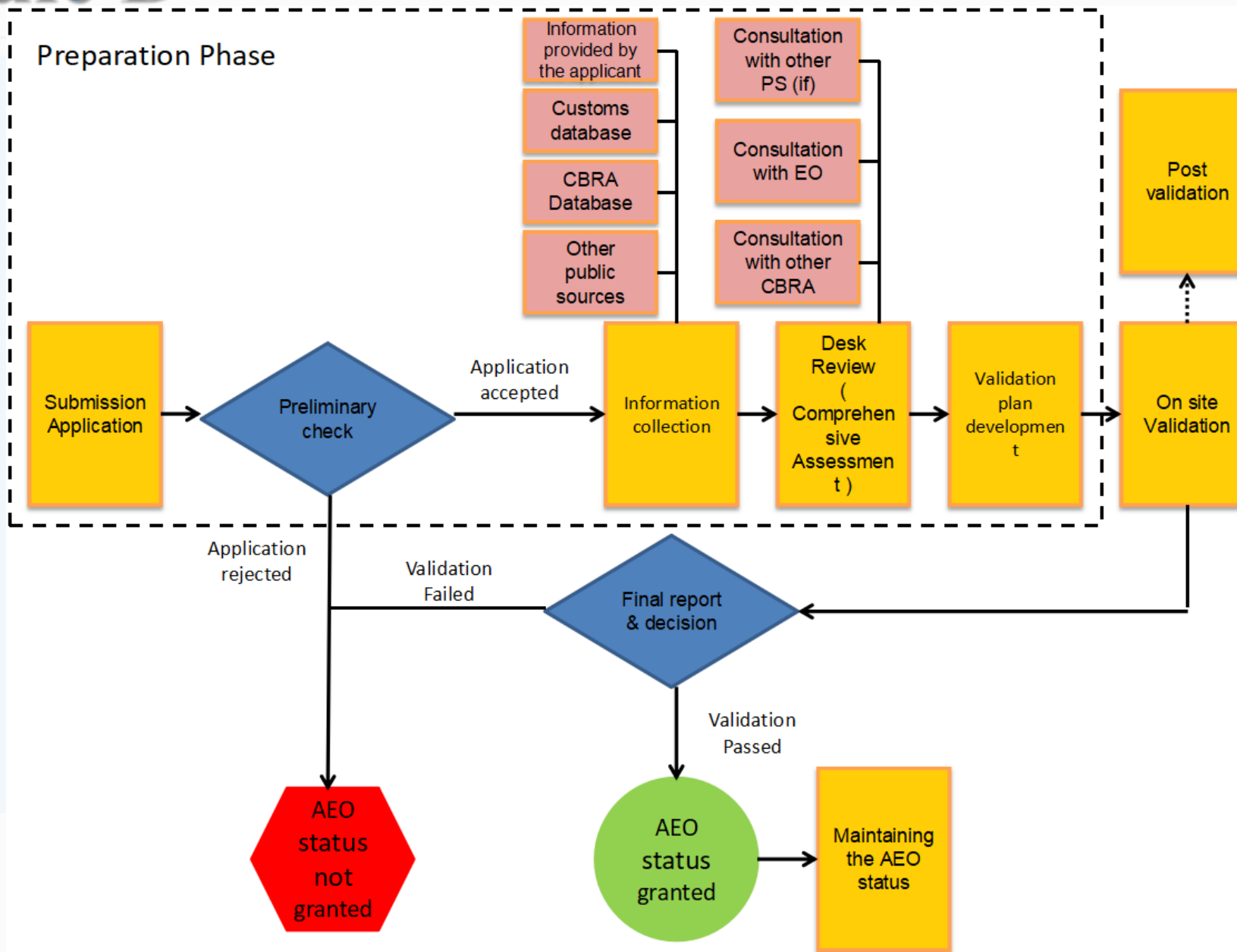
中國海關
CHINA CUSTOMS

- › WCO started drafting AEO Validator Guide and related training modules in 2016
- › 7 Modules
- › China+EU——Module D+E

Module D



中國海關
CHINA CUSTOMS



Module D



中國海關
CHINA CUSTOMS

Job Aid

I. Steps in

1.1 Accept

Economic

Customs Unit

Customs require

consuming for

Issuing Custom

application proc

questions arise.

nominate a cor

thoroughly the S

Once ACC

documents of

decision of accep

- If the

ACCUs should ac

- If the

ACCUs should n

- if the

allowed, ACCU

1.2 Inform

In order to

internal and ext

should be made

- Collec

and main import

- Collec

verify the comp

relevant authori

- Collec

- Collec

self-assessment

- Collec

category, social

- Collec

real estate licenc

Based on the

II. Risk an

2.1 Define



WCO

Modu

Groups Exercise: Sir

Learning objectives/pr

This exercise will provi

- testing participa
- testing participa
- Company Mapp
- identify any pos
- these activities.
- testing participa
- various sources
- including,
- A. Compliant and a
- B. Not-compliant wi
- C. Not-compliant ar

Task:

Participants will be split

Each Group will be

administrative complian

1. Prepare a list of th

assessment and compa

2. List the sources from

3. Do the risk assessme

Time for the exercise:



AEO Validator Guide
Module D: Validation Process – Acceptance of the application
and Risk analysis process
Questions & Answer

(1) Can you identify which of these activities are part of the Customs Authorities' role, or part of the Economic Operators' role, or part of both their roles in the AEO application process?

- Perform preliminary check (C).
- Fill out the SAQ (EO)
- Perform a risk analysis (Both).
- Implement an effective internal control (EO).
- Perform On-Site validation (C)

2) Wolfgang is a Customs Officer evaluating an application for AEO status. As a part of this process, he is conducting a risk analysis of the applicant's business and is using a risk model. What is the correct and logical order of the tasks he should perform to complete the risk analysis?

- Clarify the objectives (2)
- Respond to the risks (5)

Module D



中國海關
CHINA CUSTOMS

- › Receive application
- › Preliminary examination of the submitted materials
- › Desk review
- › Maintain close communication with the applicant
- › Develop validation plan

Module E



中國海關
CHINA CUSTOMS

- › Validation schedule
 - Determine the scope of validation
 - Time table
- › Validation team
 - Number of validators
 - Personal skills and profiles
 - Assignment of tasks and responsibilities
- › Meeting with the company
 - Steps and topics of the meeting

Module E



中國海關
CHINA CUSTOMS

- › Verify documents, systems and procedures
 - Scope
 - Main points
 - Method
- › Tour of the premises
- › Validation techniques



中國海關
CHINA CUSTOMS

3

AEO Validation & Trade Engagement

AEO Validation & Trade Engagement

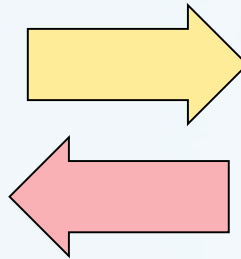


中國海關
CHINA CUSTOMS

Trade regime
Legislation systems

AEO SAFE Framework
Pillar II

Transparency



Customs-to-
Business partnership

Jointly shoulder the responsibility of securing and facilitating the legitimate trade globally!

THANKS

