

Introduction on WCO AEO Validator Training Modules

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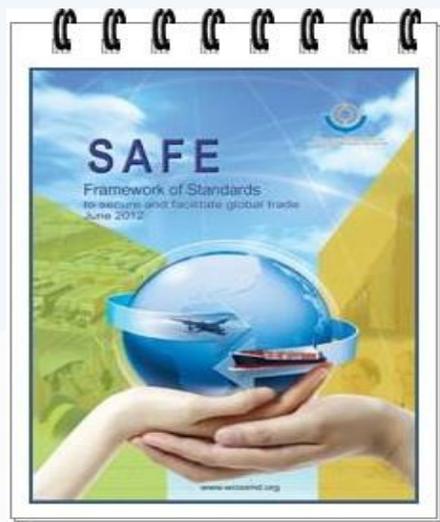
1 General Introduction

Vital role of AEO validator training



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- › Validation is the core and key element for the whole implementation of the AEO system.
- › WCO members across the world pay high attention to validator trainings.
- › the SWG endorsed the AEO Validator Guide.



China's Practices



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- › Attach great importance to validator training
- › A strict certification mechanism
- › Issued over 2000 AEO validator certifications to customs officials





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2 AEO Validator Training Modules

Background



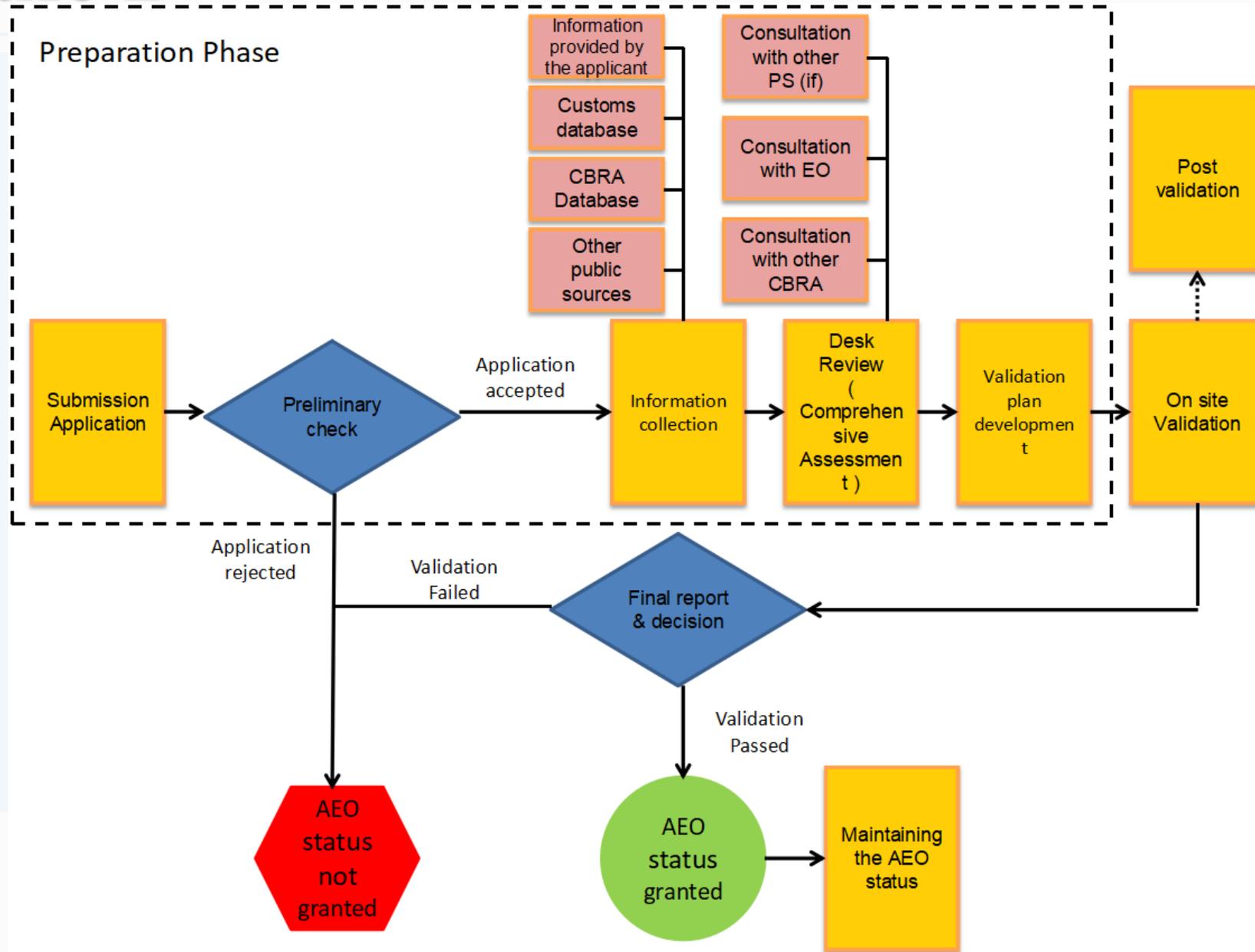
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- › WCO started drafting AEO Validator Guide and related training modules in 2016
- › 7 Modules
- › China+EU——Module D+E

Module D



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Module D



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Job Aid

I. Steps in

1.1 Accept

Economic O

Customs Unit (

Customs requir

consuming for

Issuing Custom

application proc

cessions arise.

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thoroughly the S

Once ACC

documents of :

decision of accep

- If the

ACCU should ac

- If the

ACCU should n

- if the

allowed, ACCU

1.2 Inform

In order to

internal and ext

should be made

- Collec

and main import

- Collec

verify the comp

relevant authori

- Collec

- Collec

self-assessment

- Collec

category, social

- Collec

real estate licenc

Based on the

II. Risk an

2.1 Define



WCO

Modu

Groups Exercise: Sir

Learning objectives/p

This exercise will provic

- testing participa
- testing participa
- Company Mapp
- identify any pos
- these activities.
- testing participa
- various sources
- including,
- A. Compliant and a
- B. Not-compliant wi
- C. Not-compliant ar

Task:

Participants will be split

Each Group will be

administrative complian

1. Prepare a list of th

assessment and comp

2. List the sources from

3. Do the risk assessm

Time for the exercise:



(1) Can you identify which of these activities are part of the Customs Authorities' role, or part of the Economic Operators' role, or part of both their roles in the AEO application process?

- Perform preliminary check (C).
- Fill out the SAQ (EO)
- Perform a risk analysis (Both).
- Implement an effective internal control (EO).
- Perform On-Site validation (C)

2) Wolfgang is a Customs Officer evaluating an application for AEO status. As a part of this process, he is conducting a risk analysis of the applicant's business and is using a risk model. What is the correct and logical order of the tasks he should perform to complete the risk analysis?

- Clarify the objectives (2)
- Respond to the risks (5)

AEO Validator Guide
Module D: **Validation Process – Acceptance of the application**
and **Risk analysis process**
Questions & Answer

Module D



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- › Receive application
- › Preliminary examination of the submitted materials
- › Desk review
- › Maintain close communication with the applicant
- › Develop validation plan

Module E



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- › Validation schedule
 - Determine the scope of validation
 - Time table
- › Validation team
 - Number of validators
 - Personal skills and profiles
 - Assignment of tasks and responsibilities
- › Meeting with the company
 - Steps and topics of the meeting

Module E



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- › Verify documents, systems and procedures
 - Scope
 - Main points
 - Method
- › Tour of the premises
- › Validation techniques



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3

AEO Validation & Trade Engagement

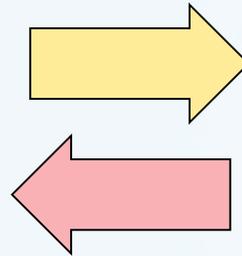
AEO Validation & Trade Engagement



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Trade regime
Legislation systems

AEO SAFE Framework
Pillar II



Jointly shoulder the responsibility of securing and facilitating the legitimate trade globally!

THANKS

