## Newsletter Snapshot

**Minding the Gap: Evaluating Your Company's Compliance with GDPR and CCPA**
Please see page 5 for more details.

**Advocacy Updates!**
Please see pages 6-9.

**ISACA—Pittsburgh Information Security Awareness Day**
Please see pages 10 for more details.

**Updated CIA Study Exam!**
Please see page 12.

**Internal Audit Opportunities**
Please see pages 18-20 for more details.
### 2018-2019

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>CPE</th>
</tr>
</thead>
<tbody>
<tr>
<td>December 11</td>
<td>Minding the Gap: Evaluating Your Company's Compliance with GDPR and CCPA</td>
<td>1 hour</td>
</tr>
<tr>
<td>January</td>
<td>Ethics Luncheon</td>
<td>1 hour</td>
</tr>
<tr>
<td>February</td>
<td>Culture and Conduct Auditing Panel Luncheon</td>
<td>1 hour</td>
</tr>
<tr>
<td>March 25 &amp; 26</td>
<td>Advanced Auditor Training with Raven Catlin at the Rivers Club</td>
<td>16 hours</td>
</tr>
</tbody>
</table>
IIA Pittsburgh Chapter Members,

I am the President Elect filling in for our President, Jessica Miller, while she is out on maternity leave until January. The Programming Committee is working to meet your training and development needs with some exciting programs that are on the horizon:

- **December 11th** – Minding the Gap: Evaluating Your Company’s Compliance with GDPR & CCPA
- **January** – Ethics Luncheon
- **February** – Culture and Conduct Auditing Panel Luncheon
- **March 25th & 26th** – Advanced Auditor Training facilitated by Raven Catlin

Registration is open for the December 11th luncheon. We hope to see you there! Stay tuned for additional details and open registration coming soon for January – May events.

Results are in for the IIA/PICPA sponsored fall case competition held last month. Thank you to all the students, faculty, and judges who made this event possible. The 1st place team was from Clarion University, 2nd place from Chatham University, 3rd place from Robert Morris University, and 4th place team from Duquesne University. Congratulations to all teams who participated!

Changes to the CIA exam are approaching fast. Visit the IIA website for more information and join us December 12th for a free webinar on upcoming exam changes on January 1, 2019. See page 12 of this newsletter for the registration link.

Thank you for your continued support. Happy Thanksgiving to you and your families!

Laura Barber, CIA
President Elect
The Chapter Achievement Program (CAP) was established by IIA Headquarters and requires each IIA Chapter to earn points in the following three areas:

   Service to Members
   Service to the Profession
   Chapter administration

In order to do this, we need your help!

Please be sure to track and report the following activity and provide to either to Jessica Miller, President (jlmiller@schneiderdowns.com) or Laura Barber (Laura.Barber@pnc.com):

1. Participation in a Junior Achievement Program
2. Host an Internal Audit event at work (Lunch and Learn)
3. Participate in an unpaid speaking engagement
4. Publish an article in a professional journal (Internal Auditor Magazine or any other professional journal)
5. Participate in an unpaid Quality Review team.
Please join the IIA Pittsburgh Chapter on Tuesday, December 11th at the Rivers Club for a presentation from Tim Maloney of Protiviti on compliance with the General Data Protection Regulation and California Consumer Privacy Act!

Equifax. Target. TTX. Anthem. Companies have not always taken the protection of personal data seriously and governments across the world are taking notice. Regulations like the European Union’s General Data Protection Regulation (GDPR) and the recently passed California Consumer Privacy Act (CCPA) require the implementation of specific practices to safeguard personal data. Non-compliance with the regulations hold stiff penalties, so it is important for internal auditors to evaluate their business’ compliance with these regulations.

In this session, we will:

- Discuss the requirements of GDPR and CCPA and what is expected of your organization.
- Explore why you should conduct an internal audit of your organization’s compliance plan
- Outline a methodology for assessing your state of compliance

Location:
Rivers Club
One Oxford Center
Pittsburgh, PA 15219

Schedule:

**Tuesday, December 11th**
Registration: 11:00 - 11:30
Networking Lunch: 11:30 - 12:00
Presentation: 12:00 - 1:00

CPE: 1 hour of CPE Credit
Cost: $35 members; $50 non-members

Featured Speaker:
Tim Maloney is an Associate Director in Protiviti’s IT Audit and Technology Consulting practices. Based in Pittsburgh, Tim partners with executives to get the most value from their IT functions. In the last 16 years, Tim has helped organizations across a variety of industries to understand and respond to their IT risks. He believes that business problems are best addressed by the solution the organization will implement. That’s why his projects focus on providing realistic recommendations that align with his client’s corporate culture and capabilities. Tim is a graduate of Grove City College with an degree in Computer Information Systems. He also holds certifications in information systems auditing, project management, IT service management and community management.
IIA & PICPA Sponsored Fall Case Competition Results!

The jointly sponsored IIA and PICPA Fall Case Competition was completed and we are proud to announce that 99 total students participated, 20 teams, 7 different Pittsburgh Colleges (Chatham, Carlow, Clarion, Duquesne, Point Park, Robert Morris, Slippery Rock).

The first place team, Clarion University, won $2000. The second place team from Chatham won $1200. The third place team from Robert Morris University won $600 and the 4th place team from Duquesne University won $200. There were four other teams from Robert Morris, Point Park and Duquesne that also advanced to the finals.

A special thank you to Rachel Albert for organizing the event, Rich Neish for authoring the case, Laura Barber and PNC for hosting the oral presentations and the professors who engaged, coached and advised their students about the Internal Audit Profession.
2nd Place - Chatham

3rd Place - RMU

4th Place - Duquesne
Other Finalists

Duquesne

Point Park

RMU

RMU
The Pittsburgh Chapter of the IIA is pleased to announce its Outstanding Accounting Student Achievement Award. Three awards in the amount of $2,000 each will be given to an undergraduate junior, senior or a Master of Accountancy student with a declared concentration in either Accounting, Accounting Information Systems or business related field and who have expressed an interest in Internal Auditing.

The IIA Outstanding Accounting Student Achievement Awards are intended to honor three students who have made substantive contributions to the university and/or the community through involvement in professional and/or community service while maintaining excellence in her/his studies and demonstrating leadership. Neither financial need nor other scholarships/grants will be considered in the selection of the recipient.

Selection Criteria*:
- G.P.A. of 3.5 or higher
- Significant professional or community service
- Leadership positions

Application Materials:
- One page student essay outlining qualifications for this award and an understanding of Internal Audit and its role in business operations
- Resume
- GPA provided

Selection Committee:
- IIA University & Academic Relations Committee

* If you are a past IIA Outstanding Accounting Student Achievement Award winner, you are not eligible to win this award again; however, please consider participating in other IIA Pittsburgh Chapter events such as the Case Competition and Presentation Competition.

Application Deadline: December 18, 2018
Submit to: trott@duq.edu (Val Williams serves as the individual collecting the applications. She forwards to the scholarship committee for analysis and award decisions)

Announcement of Awards: January 26, 2019
ISACA Pittsburgh Information Security Awareness Day at the Rivers Casino

Pittsburgh ISACA’s Security Conference has been decreed Pittsburgh Information Security Awareness Day by Bill Peduto, Mayor of Pittsburgh for the fifth year in a row!

Event Summary

ISACA Pittsburgh Chapter invites you to attend the Information Security Awareness Day Conference (8 CPE's for $35) at Rivers Casino!

The Conference will be held on Monday, December 3rd, 2018.

This Conference includes educational presentations by information security professionals and the following Keynote Speakers in a CISO Panel Discussion:

- Dr. Trebor Evans, PMP, CCISO, Senior Vice President and Chief Information Security Officer, Dollar Bank
- Sid Faber, Chief Information Security Officer, Federated Investors
- John Houston, Vice President, Privacy and Information Security & Associate Counsel, UPMC

All attendee's of this event will receive a $10 voucher for free play at the Casino.

ISACA Pittsburgh will provide “Passports” to all attendees with the logos of the Platinum, Gold, and Silver Sponsors. Attendees who visit the vendor booths and have their “Passports” stamped will be placed in a drawing for a YETI Cooler, Amazon Echo Show, gift cards, and more donated by ISACA Pittsburgh at the conclusion of the event.

Pittsburgh Food Drive - an additional raffle ticket will be provided in exchange for at least one non-perishable donation for a Pittsburgh food drive.

Exciting changes for 2018!:

- We've updated the dress code to a more casual attire. Please feel free to wear jeans!
- The Rivers Casino Grand View Buffet will be included for lunch, which serves a variety of cuisines including Italian, Asian, BBQ, and more (semi-private).
- A cash bar will be offered during the afternoon break and for the remainder of the conference. Wine, beer, and liquor will be available for purchase.
- Sign-in and Sign-out will be completed via CVENT’s OnArrival solution. All attendees will need to use the iPad kiosks at the registration table to sign-in and also sign-out when exiting the event. All attendees are required to sign-out in order to receive CPE certificates. CPE hours will be granted based on the time spent at the conference (E.g., sign-out at Noon = 4 CPE).

*The Rivers Casino offers free parking in the garage for this event
*All registrants must be at least 21 years of age unless registered by 11/16 in order to register with PA Gaming Commission. Under 21 attendees must email Pittsburgh ISACA at isacapgh@gmail.com to complete the registration process. Rivers Casino Valet Parking must be used due to Casino age policies.

For more information such as Event Overview, a list of the 2018 Sponsors, and to REGISTER please follow this link!  
Click Here
IIA Learning Webinars

IIA Learning Webinars are short, live, educational presentations covering the latest trending topics in the world of internal auditing. In The IIA’s members-only webinars, you can interact with established leaders about emerging issues, boost your business know-how, and receive complimentary CPE credit. Plus, you can access the extensive library of archived webinars, 24/7 (CPE credits are unavailable with archived presentations).

Five Reasons to Attend a Webinar

IIA webinars provide:

♦ Short, interactive presentations led by professionals.
♦ Keen insights from audit experts.
♦ Immediate awareness of current trends.
♦ Free access for IIA members.
♦ Complimentary CPE credit.

Registration will close 24 hours prior to the beginning of the webinar start time listed.

Upcoming IIA Webinars

December 10, 2018
Members-Only Webinar: Impact of Internal Audit on Food Safety Culture

December 11, 2018
Members-only Webinar: United, Connected and Aligned

On-demand 24/7 Webinar Playback
One benefit of being an IIA member is having access to our extensive library of archived webinars. See IIA website for details.
THE CIA EXAM IS CHANGING! CHOOSE THE RIGHT STUDY TOOLS.

The English language CIA exam will be updated on January 1, 2019. For complete details about the CIA exam transition across all languages, visit www.theiia.org.

Exams passed by December 31, 2018 will carry forward, so don’t put off your studies.
Start now by choosing the right study materials for you and take advantage of The IIA’s CIA Learning System 2019 Upgrade Guarantee!

Prepare to pass the CIA exam with the experts! The IIA’s CIA Learning System was created by a team of CIA-certified industry experts to be the most relevant, comprehensive, and effective CIA review program available. This interactive self-study program combines reading materials with online study tools to teach and reinforce the entire global CIA exam syllabus in a flexible, on-demand format. Looking for more structure, guidance and motivation? Facilitator-led courses are also available around the globe in traditional classroom, online and virtual formats.

Prepare to pass the CIA exam and arm yourself with critical tools and knowledge to excel in your internal audit career. Get started today!

JOIN US FOR A FREE WEBINAR
Wednesday, December 12, 2018 1:00 - 2:00 EST
Register Here

Please use the follow link to learn all things CIA!
https://www.learncia.com/

Find Your Perfect Fit.
Three flexible study options make it easy to prepare your way.

Study Options
The IIA offers a wide variety of publications providing up-to-date news and information about various sectors of the internal audit profession. Please take a few minutes to review our free and subscription-based publications.

**IIA SmartBrief**

(Free to the public) *IIA SmartBrief* provides a weekly snapshot of market news and issues affecting internal auditors and their stakeholders from leading global news sources. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting the profession straight to our members’ email inboxes. Learn more.

**Your Career Compass**

*Your Career Compass* is The IIA’s newest publication to support our members’ internal audit career growth with the latest knowledge, tools, and resources available. Members receive the 44 to 52-page guide four times a year in print with *Ia* magazine, and a monthly eNewsletter with tips and guidance on training, education, and knowledge sharing focused on specific topics and issues. This publication has replaced *IIA Today* and *Your Training Compass Resource Guide*. Learn more.

**IIA Connection**

(IIA members only) *IIA Connection* is The IIA’s monthly member eNewsletter designed to provide relevant and timely information on internal audit news and what’s happening at The IIA in the areas of guidance, research, training, services, events, and certification.

**Tone at the Top**

(Free to the public) *Tone at the Top* provides executive management, boards of directors, and audit committees with concise, leading-edge information on issues such as risk, internal control, governance, ethics, and the changing role of internal auditing; and guidance relative to their roles in, and responsibilities for, the internal audit process. *Tone at the Top* is also available online in Spanish and Turkish, translation courtesy of IIA-Ecuador and IIA-Turkey, respectively.

**CAE Bulletin**

(For Audit Executive Center members only) *CAE Bulletin* is a semi-monthly newsletter published as a membership benefit in the rapidly expanding program for chief audit executives, the Audit Executive Center. This newsletter features the latest in news and guidance designed to help CAEs respond to today’s business challenges and opportunities, with links to resources needed to stay current with the most pressing audit issues.
Certification Corner® is a quarterly enewsletter designed to provide certification candidates and holders the latest developments in The IIA’s certification programs. It also provides helpful information such as reminders about CPE reporting requirements and changes that you are likely to encounter in The IIA’s Certification Candidate Management System (CCMS). Candidates who are enrolled and maintain their certification(s) receive this enewsletter.

(For FSA Group members only) IIA Financial Services SmartBrief replaces FSA Times and provides members of The IIA’s Financial Services Auditor (FSA) Group a monthly snapshot of market news and issues from leading global news sources that affect financial service internal auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting financial services. Subscribe today by becoming a member of the FSA Group.

(For Gaming Audit Group members only) IIA Gaming SmartBrief replaces The Gaming Auditorium and provides members of The IIA’s Gaming Audit Group a monthly snapshot of market news and issues from leading global news sources that affect gaming internal auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles affecting gaming. Subscribe today by becoming a member of the Gaming Audit Group.

(For ACGA members only) Government Auditor SmartBrief provides a monthly snapshot of market news and issues affecting government auditors and their stakeholders. SmartBrief is a service that curates thousands of news sources and delivers timely articles and news affecting the profession straight to your email inbox. This monthly eNewsletter helps ACGA members stay on top of emerging issues within the public sector by delivering a weekly snapshot of market news and issues affecting government auditors and their stakeholders. There’s no need for members to subscribe or opt-in. ACGA members automatically receive the eNewsletter on the third Tuesday of each month. If you’re not a member, we encourage you to join ACGA today!
Refreshed with globally relevant content, The IIA’s new International Professional Practices Framework® (IPPF®), or Red Book, brings internal auditors up to speed on mandatory and recommended guidance.

The International Professional Practices Framework (IPPF®) is the conceptual framework that organizes authoritative guidance promulgated by The Institute of Internal Auditors. A trustworthy, global, guidance-setting body, The IIA provides internal audit professionals worldwide with authoritative guidance. The IPPF includes Mandatory Guidance and Recommended Guidance.

Mandatory Guidance:
- Core Principles for the Professional Practice of Internal Auditing.
- Definition of Internal Auditing.
- Code of Ethics.
- International Standards for the Professional Practice of Internal Auditing (Standards).

Recommended Guidance:
- Implementation Guidance.
- Supplemental Guidance.

The IPPF 2017 edition features the Mission of Internal Audit, Core Principles for the Professional Practice of Internal Auditing, the Definition of Internal Auditing, Code of Ethics, the Standards, and Implementation Guidance in hard copy. All Supplemental Guidance documents are on USB.
Looking for Volunteer Positions?

Looking for a way to get more involved with Pittsburgh IIA Chapter? We are always looking for volunteers to aid in building our wonderful organization. **Taking a leadership role or becoming part of a committee will provide a great opportunity to give back to the Pittsburgh Chapter as well as provide many networking opportunities!** A variety of volunteer roles are available allowing for a range of responsibilities and time commitments providing a perfect opportunity for everyone to get involved!

Please feel free to reach out if you are interested in learning about what positions may be available.

Please contact our Volunteer Coordinator Colin Powell at **cpowell@paf-resources.com** for more information on how you can get involved in the Pittsburgh Chapter!
Advertise with us!

The IIA newsletter is distributed to approximately 800 local Internal Audit professionals on a regular basis. Advertising with us is a great way to attract local talent to fill your open positions; and if your company has an audit group membership, employment opportunity ads are FREE OF CHARGE! See below for our advertising policies and rates.

EMPLOYMENT OPPORTUNITIES

Employment advertisements placed by audit group member companies are free of charge.

Employment advertisements placed by non-group member companies:

- ¼ page - $50
- ½ page - $100
- Full page - $200

Employment advertisements for employment agencies, recruiting firms, and professional services providers, including firms placing ads on behalf of member companies:

- ¼ page - $100
- ½ page - $200
- Full page - $400

OTHER ADVERTISEMENTS

Advertising for services or products:

- ¼ page - $100
- ½ page - $200
- Full page - $400

Attention Members:

Please take a few minutes to review your IIA profile and contact information at www.theiia.org. Maintaining current information in your IIA profile will help to ensure that you will continue to receive valuable information from The IIA.

REMINDER: Members of the IIA Pittsburgh chapter are given access to the membership list. This list is NOT to be used to solicit members. Solicitation of services/products using the chapter membership list may result in the revocation of membership.
Position Title: Internal Audit Consultant
Reports to: Director or Manager – Internal Audit

Department: Internal Audit
Location: CNX Center, Canonsburg, PA

Position Summary
Internal Auditing’s mission is to provide independent, objective assurance and consulting services designed to add value and improve CNX Resources’ operations. The Internal Auditing Department helps the organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness risk management, control, and governance processes.

The Internal Audit Consultant will be responsible for the execution of the company’s internal audit efforts, including both specific project-related audits / assessments and compliance with Sarbanes-Oxley (SOX).

Target Responsibilities
- Assist in the design of audit approaches / procedures
- Review accounting and operating procedures and systems of internal control supporting defined policies / procedures
- Support Internal Audit management SOX program efforts by working closely with management and process owners to identify and update new/emerging risks. Execute specific audit procedures such as creation of process flowcharts, narratives and conduct testing of assigned key controls in a timely manner.
- Promote best practices designed to add value and improve CNX Resources’ operations.
- Establish and manage relationships with business process owners, external audit professionals, and any co-source partners in support of the firm’s SOX compliance efforts.
- Perform operational or specific project-related audits on an as needed basis.

Required Skills and Experience
- Bachelor’s degree in Businesses Administration, Accounting, Finance or Information Systems.
- 0 - 3 years of related experience.
- Preferred understanding of Sarbanes-Oxley, internal audit, internal control reviews and process risk assessments
- Must possess effective written and verbal communication skills.
- Proficient with Microsoft Office products.
- The ability to thrive in a fast-paced, deadline-oriented environment, and a willingness to take initiative to understand the business.
- Must possess strong problem solving and analytical skills.
- Must have ability to think critically and be willing challenge existing controls and identify risks.
- Certification or certifications highly desired (CIA / CCSA / CPA / CMA / CISA).
Position Title: Internal Audit Senior Consultant
Reports to: Director – Internal Audit

Department: Internal Audit/Controls
Location: CNX Center, Canonsburg, PA

Position Summary
Internal Auditing’s mission is to provide independent, objective assurance and consulting services designed to add value and improve CNX Resources’ operations. The Internal Auditing Department helps the organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve risk management, control, and governance processes.

The Internal Audit Staff/Senior will provide analytical support to the Internal Auditing function by participating in the design of SOX controls and testing those controls with business process owners. The position will work across all business functions and will have an active role in identifying business process improvements.

Target Responsibilities
• Establish relationships with business process owners and external audit professionals in support of SOX compliance efforts
• Proactively manage SOX program by working closely with process owners to ensure all relevant risks are identified and internal controls are in place to address those risks. Ensure related process flowcharts, narratives and test plans are documented and key controls are tested timely
• Conduct control testing and review SOX compliance documentation and test results for accuracy, completeness and consistency and provide necessary feedback and process improvement recommendations to the process owners. Maintain knowledge of current regulatory requirements affecting SOX and stay abreast of SOX requirements and current best practices of comparable companies
• Promote best practices designed to add value and improve CNX Resources’ operations
• Participate in control, operational, financial, and information systems audits and contribute cost effective recommendations for improvement of internal controls and/or operational efficiencies
• Assist in research and support of business process improvement opportunities presented during audits

Required Skills and Experience
• Bachelor’s degree in Businesses Administration, Accounting, Finance or Information Systems.
• Preferred 3 - 5 years year internal audit / controls experience, specifically Sarbanes-Oxley related
• Experience with control reviews and process risk assessments
• Proficient with PCAOB requirements and ability to prepare work papers to standards
• Knowledge or experience in business process improvement highly desired
• Must possess effective written and verbal communication skills
• Proficient with Microsoft products
• Ability to thrive in a fast-paced, deadline-oriented environment, and willingness to take initiative to understand the business
• Must possess strong problem solving and analytical skills
• Must have ability to think critically, identify risks and be willing to challenge existing controls
• Certification required (CPA / CIA / CISA).
Senior Auditor

University of Pittsburgh
Senior Internal Auditor

The Internal Audit Department at the University of Pittsburgh provides independent, objective assurance and consulting services to the University community. Internal Audit assists University Management and the Audit Committee of the Board of Trustees in identifying, avoiding and mitigating risks by bringing a systematic, disciplined approach to evaluating and improving the effectiveness of risk management, internal control, and governance processes.

- This position serves as the lead auditor on engagements.
- Audit engagements are financial, operational, and compliance in nature.
- The Senior Auditor is responsible for performing risk assessments, developing the audit scope and approach, conducting entrance and exit meetings, and preparation/reviewing audit work papers and reports.

- Requirements include a minimum of three to five years of auditing experience.
- Bachelor’s degree required with major in Accounting or Business Administration; preference given to candidates with professional designation, such as CPA or CIA.
- Previous experience should include simultaneous coordination of multiple projects; strong analytical, organizational, and communication skills; and proficiency in accounting and auditing standards.

University offers a competitive benefit package, minimal overtime and little to no travel.


*University of Pittsburgh is an equal opportunity employer and supports workforce diversity.*
Pittsburgh Chapter Committees

**Committee** | **Chairperson**
--- | ---
Advocacy | LaDawn Yesho  
LaDawn.Yesho@stbank.net
Certifications | Chris Cerda  
ccerda@sdcpa.com
Directory | Kathy Cromer  
kcromer@mbakercorp.com
Golf Outing | Jaye Wilson  
jwilson@schneiderdowns.com
 | Eric Davis  
edavis@schneiderdowns.com
Hospitality | Colin Powell  
cpowell@paf-resources.com
Membership | Chris Cerda  
ccerda@sdcpa.com
Newsletter | Brianne Roark  
broark@paf-resources.com
Programming | Delia Carr (Chair)  
Delia.carr@pnc.com
Volunteers | Colin Powell  
cpowell@paf-resources.com
Website | Shari Pearl  
Shari.Pearl@bnymellon.com
Historian | Jeff Mayausky  
jmayausky@gmail.com